

SPARK ENERGY, INC.

Reported by **MELMAN GIL**

FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

Filed 12/18/18 for the Period Ending 09/13/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					_										_					
1. Name and Address of Reporting Person *					2. I	2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Melman Gil					Sp	Spark Energy, Inc. [SPKE]														
(Last) (First) (Middle)					3. I	3. Date of Earliest Transaction (MM/DD/YYYY))		Director 10% Owner					
(====)														XOfficer (give title below)Other (specify below) Vice President General Counsel						
12140 WICKCHESTER LANE SUITE 100						9/13/2018								V	ice Presider	it Genera	il Counsel			
(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)								D/YYYY) 6	6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079						9/17/2018							_	X_Form filed by One Reporting Person Form filed by More than One Reporting Person						
(City) (State) (Zip)														1 orm med by More than One reporting Ferson						
		,	Table I	- Non	-Der	ivati	ive Secu	ırities	s Ac	quire	ed, D	ispose	d o	f, or B	enef	icially Owne	ed			
1. Title of Security	2. Tra					2A. Deemed Execution		3. Trans. Co (Instr. 8)			 Securities Acquor Disposed of (D 			red (A) 5. Amount of Securit Following Reported				6. Ownership	7. Nature of Indirect	
(Instr. 3)					Date, if any			(IIISII. 8)		(Instr. 3, 4 and 5))	(Instr. 3 and 4)		ransaction(s)		Form:	Beneficial	
																		Ownership (Instr. 4)		
								Cod	do.	V	Amoi		() or D)	Price					(I) (Instr. 4)	
										<u> </u>	711110		<i>D)</i>	Trice					1)	
	Tab	le II - Deri	vative S	Securi	ties F	Bene	ficially	Owne	ed (e.g. ,	puts	, calls	, wa	arrants	s, op	tions, conve	rtible sec	urities)		
Title of Derivate Security	2. Conversion	3. Trans. Date	3A. Deemed 4 Execution C		Trans.	ns. 5. Numb Derivativ				6. Date Ex- Expiration					Title and Amount of curities Underlying		8. Price of Derivative	Number of derivative		 Nature of Indirect
(Instr. 3)	or Exercise	Date	Date, if a		istr. 8)) Securitie		es Acquired Disposed of		Ехриа	nion Date			Derivative Sec (Instr. 3 and 4		urity	Security	Securities Beneficially Owned	Form of	Beneficial Ownership (Instr. 4)
	Price of Derivative												ľ)	(Instr. 5)			
	Security						(Instr. 3,	4 and 5)									Following Reported	Direct (D) or Indirect	()
				(Code	v	(A)			Date Exerci		Expirat Date	ion ,	Title		Amount or Number of Shares		Transaction(s) (Instr. 4)		
Restricted Stock Unit	(1)	9/13/2018			A		417 (2	2)		C	<u>3)</u>	(3)		Class Comm Stock	on	417.0	\$8.70	20417 (4)	D	
Restricted Stock Unit	(1)	9/13/2018			A		1662	2)		<u>(</u> :	<u>5)</u>	<u>(5)</u>		Class Comm Stock	on	1662.0	\$8.70	81481 (4)	D	
Restricted Stock Unit	<u>(1)</u>	9/13/2018			A		625 (2	2)		<u>(</u>	<u>6</u>	<u>(6)</u>		Class Comm Stock	on	625.0	\$8.70	30625 (4)	D	

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These RSUs will vest in full on May 18, 2019.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.
- (5) These RSUs vest ratably over four years in May of each year.
- (6) These RSUs vest upon certain conditions in connection with a "Change in Control" of Spark Energy, Inc. as such term is defined in the Form of Notice of Grant of Restricted Stock Unit (Change in Control Restricted Stock Units), filed as Exhibit 10.5 to Spark Energy, Inc.'s Quarterly Report on Form 10-Q for quarter ended June 30, 2018.

Reporting Owners

Reporting Owner Name / Address	Relationships								
Reporting Owner Name / Address	Director	10% Owner	Officer	Other					
Melman Gil 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079			Vice President General Counsel						

Signatures

/s/ Gil Melman	12/18/2018			
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.