

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. | Issuer Name and Ticker or Trading Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|---|-------------------|---|---------------------------------|--|---|-----------|-------------------|-----------------------|---------------|---|-------------------|---|------------------------|----------------------------------|--|--|
| Maxwell W | Keith III | | | Sp | parl | Ener | gy, In | c. [S | SPKI | Ε] | | | | | | | |
| (Last |) (First | irst) (Middle) | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | | X DirectorX 10% OwnerX Officer (give title below) Other (specify below) | | | | |
| 12140 WICKCHESTER LANE, SUITE 100 | | | | | 6/15/2021 | | | | | | | C | EO | | | | |
| | (Stre | eet) | | 4. | If Aı | nendme | nt, Date | Orig | inal Fi | led (MM/I | DD/YYYY |) 6. | Individual o | or Joint/G | roup Filing | (Check Appl | icable Line) |
| HOUSTON, TX 77079 (City) (State) (Zip) | | | | | | | | | | | | _> | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| (| (5.1 | | | Non-De | rivat | ive Secu | ırities A | Acqui | red, D | Disposed | of, or B | enefi | icially Own | ed | | | |
| 1.Title of Security (Instr. 3) 2. Trans. D | | | rans. Date | Exec | | 3. Trans. Cod (Instr. 8) | | or Di | or Disposed of (D) Fo | | Follo | nstr. 3 and 4) Fo | | | Ownership Form: Direct (D) | Ownership of Indirect Beneficial Ownership | |
| | | | | | | | Code | V | Amo | (A) count (D) | r Price | | | | | (I) (Instr. 4) | (msu. 4) |
| | Tal | ble II - Der | ivative Se | curities | Ben | eficially | Owned | d (<i>e.g.</i> | , puts | , calls, w | arrants | , opt | ions, conve | rtible secu | ırities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) | | 5. Number of Derivative Secu Acquired (A) of Disposed of (D) (Instr. 3, 4 and | | Securities Expira | | | 7. Title and Ar Securities Und Derivative Sec (Instr. 3 and 4) | | erlying urity | | | Ownership Form of Derivative Security: Direct (D) or Indirect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code | V | (A) | (D | Dat Exe | te Expiration Date | | Title | | Amount or Number of Shares | | | | |
| Restricted Stock Unit | (1) | 6/15/2021 | | A | | 2772 (2 | 2) | | (3) | (3) | Class Comn Stock | on | 2772.0 | \$10.30 ⁽⁴⁾ | 160335 (5) | D | |

Explanation of Responses:

- (1) Each restricted stock unit ("Restricted Stock Unit") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each Restricted Stock Unit includes tandem dividend equivalents which will vest upon the same schedule as the underlying Restricted Stock Unit.
- (2) These Restricted Stock Units accrued on outstanding Restricted Stock Units held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These Restricted Stock Units vest ratably over four years in May of each year starting in the year following the grant.
- (4) The price is based on the closing price on Tuesday, June 1, 2021.
- (5) Balance includes original grants of Restricted Stock Units and dividend equivalents issued in additional Restricted Stock Units.

Reporting Owners

| Reporting Owners | | | | | | | | |
|-----------------------------------|---------------|-----------|---------|-------|--|--|--|--|
| Reporting Owner Name / Address | Relationships | | | | | | | |
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| Maxwell W Keith III | | | | | | | | |
| 12140 WICKCHESTER LANE, SUITE 100 | X | X | CEO | | | | | |
| HOUSTON, TX 77079 | | | | | | | | |

Signatures

/s/ W. Keith Maxwell III, by Dominique R. Colvard, Attorney-in-Fact

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.