

SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

Filed 04/04/19 for the Period Ending 11/14/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2.	2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Maxwell W Keith III						Spark Energy, Inc. [SPKE]							X Director		v	10% Owner	r	
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)						X _ Director X _ 10% Owner Officer (give title below) Other (specify below)							
12140 WICKCHESTER LANE, SUITE 100,					E	11/14/2016												
(Street)					4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)						11/14/2016						Form filed by One Reporting Person X Form filed by More than One Reporting Person						
			Table	e I - N	on-De	rivat	ive Sec	urities A	cqui	red, Di	sposed	of, or	Ben	neficially Owne	ed			
1.Title of Security (Instr. 3) 2. Trans. Definition of the control of the contro				2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Acquire Disposed of (D) (Instr. 3, 4 and 5)		ired (A)	5. Amount of Secur Following Reported (Instr. 3 and 4)					7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	V	Amount 25877	(Ď)	Price	-				4)	
Class A Common Stock 11/14/2016				2016			P		(1)	A	\$24.89	(2)	118527 D		D			
Class A Common Stock 11/14/2016				2016			P		18165 (1)	A	\$26.12	<u>(3)</u>	136692		D			
Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)																		
Security Conversion Date Exc		3A. De Execut Date, i	eution (Instr		Acqui Dispo				6. Date Exercisable and Expiration Date Date Expiration			rities vative . 3 an	Underlying e Security and 4)	derlying Derivative Security (Instr. 5)		Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	V	(A)	(D)	Ex	ercisable	Date	Title	Shai	ires		Transaction(s) (Instr. 4)	(1) (Instr. 4)	

Explanation of Responses:

- (1) This Amendment is being filed to correctly reflect an aggregate purchase of 44,042 shares of Spark Energy, Inc.'s Class A common stock on November 14, 2016 instead of 42,185 shares of Class A common stock, as reported on the original Form 4. The number of shares of Class A common stock reported as holdings has been updated from 135,035 shares of Class A common stock to 136,692 shares of Class A common stock to reflect the correct transaction amount. The purchase of Class A common stock reported herein by the reporting person may be matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended. The reporting person has agreed to disgorge any short swing profits associated with such matching transactions.
- (2) The price reported in Column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$24.89 to \$25.85, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (3) The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$25.9 to \$26.495, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Maxwell W Keith III							
12140 WICKCHESTER LANE, SUITE 100	X	X					
HOUSTON, TX 77079							
TxEx Energy Investments, LLC							
12140 WICKCHESTER LANE		X					
SUITE 100		А					
HOUSTON, TX 77079							

Retailco, LLC		
12140 WICKCHESTER LANE	v	
SUITE 100	Λ	
HOUSTON, TX 77079		

Signatures

/s/ W. Keith Maxwell III				
** Signature of Reporting Person				
/s/ W. Keith Maxwell III, Chief Executive Officer of TxEx Energy Investments, LLC				
** Signature of Reporting Person				
/s/ W. Keith Maxwell III, Chief Executive Officer of Retailco, LLC				
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.