

SPARK ENERGY, INC.

Reported by **MELMAN GIL**

FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

Filed 05/16/16 for the Period Ending 05/04/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person * | | | | | | | | | | | ibol | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|---|--------------|---|---|--|---|--|---|--------------|--------------------|---|---|--|--|--|--------------|
| Melman Gil | | | | S | Spark Energy, Inc. [SPKE] | | | | | | | Director | | 10 | 0/ Отт | |
| (Last) | (First |) (Mi | iddle) | 3. | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | YY) | X Officer (give title below) Other (specify below) | | | | |
| 12140 WICKCHESTER LANE SUITE 100 | | | | E | 5/4/2016 | | | | | | | Vice Presider | | · — | Other (speed | iy ociow) |
| | (Stre | et) | | 4. | If Ar | nendm | ent, Date | Origi | inal Fi | led (MM | DD/YYYY) | 6. Individual o | or Joint/G | roup Filing | (Check Appl | icable Line) |
| HOUSTON, TX 77079 (City) (State) (Zip) | | | | | 5/6/2016 | | | | | | | X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | | Table I - I | Non-De | erivat | ive Se | curities A | cquii | red, D | isposed | of, or Be | neficially Own | ed | | | |
| 1.Title of Security (Instr. 3) 2. Trans. Da | | | ns. Date | 2A. Deemed Execution Date, if any | | 3. Trans. Co (Instr. 8) | de 4. Securities A Disposed of (D (Instr. 3, 4 and | | ed of (D) | uired (A) or | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | | | Ownership of Indire Form: Benefic | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | Code | V | Amoun | (A) or (D) | Price | | | | or Indirect (I) (Instr. 4) | (Instr. 4) |
| Class A Common S | | | | | | | | | | | | | 5215 | | D | |
| Class A Common S | tock | | 5/4/ | 2016 | | | M | | 5664 1553 | A | \$0 | | 10879 | | D | |
| Class A Common Stock 5/4/2016 | | | | 2016 | | | F | | (<u>1</u>) | D | \$25.87 ⁽²⁾ | 9326 (3) | | D | | |
| | Tab | le II - Deri | ivative Sec | | | | • | • | | | | , options, conve | rtible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | Date | 3A. Deemed Execution Date, if any | 4. Trans (Instr. 8 | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date | | | Underlying Security | | 9. Number of derivative Securities Beneficially Owned Following | Ownership of Form of Derivative Security: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | e V | (A) | (D) | Date Exercisa | cisable | Expiration Date | Title | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Restricted Stock Unit | <u>(4)</u> | 5/4/2016 | | M | | | 5664 | | <u>(4)</u> | <u>(4)</u> | Class A Commo Stock | | \$0 | 22197 | D | |

Explanation of Responses:

- (1) Payment for tax liability through the withholding of shares of Class A Common Stock vesting on May 4, 2016 in an amount equal to the requisite withholding obligation.
- (2) Vesting price is based on the closing price of common stock on May 4, 2016, pursuant to the Spark Energy, Inc. Long Term Incentive Plan.
- (3) The total number of shares beneficially owned was unintentionally misstated due to a clerical error.
- (4) The shares of Class A Common Stock reported represent shares issued as a result of vesting of 5,664 Restricted Stock Units on May 4, 2016.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|----------------------------------|---------------|-----------|--------------------------------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| Melman Gil | | | | | | | | |
| 12140 WICKCHESTER LANE SUITE 100 | | | Vice President General Counsel | | | | | |
| HOUSTON, TX 77079 | | | | | | | | |

Signatures

| /s/ Gil Melman | 5/16/2016 | | |
|----------------------------------|-----------|--|--|
| ** Signature of Reporting Person | Date | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.