

SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

Filed 04/04/19 for the Period Ending 12/21/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Maxwell W Keith III						Spark Energy, Inc. [SPKE]							X Director	Ź	v	10% Owner		
(Last) (First) (Middle)					3.	3. Date of Earliest Transaction (MM/DD/YYYY)						X _ Director _ X _ 10% Owner _ Officer (give title below) _ Other (specify below)						
12140 WICKCHESTER LANE, SUITE 100,					E	12/21/2017												
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)						
HOUSTON, TX 77079 (City) (State) (Zip)						12/27/2017							Form filed by One Reporting Person X Form filed by More than One Reporting Person					
									•		•			eficially Owne				
1.Title of Security (Instr. 3) 2. Trans. Dat							3. Trans. Code (Instr. 8)		4. Securities Acquire Disposed of (D) (Instr. 3, 4 and 5)		red (A)	5. Amount of Sect Following Report (Instr. 3 and 4)				Ownership Form:	7. Nature of Indirect Beneficial Ownership	
								Code	V	Amount	(A) or (D)	Price	;				or Indirect (I) (Instr. 4)	
Class A Common Stock 12/21/2017				2017	P 23950 A \$11.89 (2) 1004796					D								
	Tabl	e II - Deri	ivativ	e Secu	rities	Bene	ficially	Owned	(e.g.	, puts,	calls, w	arrar	nts, o	options, conve	rtible sec	urities)		
Security Conversion or Exercise Price of Derivative Execution Date, if any			4. Trans. (Instr. 8)		Acquired Disposed	nber of tive Securities red (A) or red of (D) 3, 4 and 5)		6. Date Exercisable and Expiration Date			ities U	Jnderlying Security	Derivative Security	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Security				Code	V	(A)	(D)	Da Exc	te ercisable	Expiratior Date	Title	Amo	ount or Number of es		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

Explanation of Responses:

- (1) This Amendment is being filed to correctly reflect a purchase of 23,950 shares of Spark Energy, Inc.'s Class A common stock instead of 22,350 shares of Class A common stock, as reported on the original Form 4. The number of shares of Class A common stock reported as holdings has been updated from 988,722 shares of Class A common stock to 1,004,796 shares of Class A common stock to reflect the correct transaction amount, as well as other unreported transactions and amendments that are being reported on or about the date hereof. The purchase of Class A common stock reported herein by the reporting person may be matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended. The reporting person has agreed to disgorge any short swing profits associated with such matching transactions.
- (2) The price reported in Column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$11.70 to \$12.10, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Maxwell W Keith III						
12140 WICKCHESTER LANE, SUITE 100	X	X				
HOUSTON, TX 77079						
Retailco, LLC						
12140 WICKCHESTER LANE		X				
SUITE 100						
HOUSTON, TX 77079						
TxEx Energy Investments, LLC						
12140 WICKCHESTER LANE		X				
SUITE 100		Λ				
HOUSTON, TX 77079						

Signatures

/s/ W. Keith Maxwell III				
** Signature of Reporting Person	Date			
/s/ W. Keith Maxwell III, Chief Executive Officer of Retailco, LLC				
** Signature of Reporting Person	Date			
/s/ W. Keith Maxwell III, Chief Executive Officer of TxEx Energy Investments, LLC				
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.