

SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

Filed 04/04/19 for the Period Ending 09/20/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.]	2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Maxwell W Keith III					_	Spark Energy, Inc. [SPKE]							X Director	Í	v	100/ Owner		
(Last) (First) (Middle)				3.]	3. Date of Earliest Transaction (MM/DD/YYYY)						X Director X 10% Owner Officer (give title below) Other (specify below)							
12140 WICKCHESTER LANE, SUITE 100,					Ε	9/20/2018												
	(Street)				4.]	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)					9/26/2018							Form filed by One Reporting Person X Form filed by More than One Reporting Person						
			Table	: I - No	on-Der	ivati	ve Sec	urities Ac	quir	ed, Di	sposed o	of, or	Bene	eficially Owne	ed			
1. Title of Security (Instr. 3)]			3. Trans. Co (Instr. 8)		e 4. Securities Acqui or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securit Following Reported (Instr. 3 and 4)		ties Beneficially Owned Transaction(s)		Ownership Form: Direct (D)	Beneficial Ownership	
								Code	V	Amount	(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common S	tock			9/20/2	018			P		13417 (1)	A	\$8.28	<u>(2)</u>	10	036513		D	
	Tabl	e II - Deri	ivativ	e Secu	rities l	Bene	ficially	Owned (e.g.	, puts,	calls, w	arrar	nts, o	options, conve	rtible sec	urities)		
Security Conversion of Exercise Price of Derivative			. Trans. Instr. 8)	Code	5. Numb Derivativ Acquired Disposed (Instr. 3,	ve Securities I (A) or I of (D)		6. Date Exercisable and Expiration Date			ities U	Jnderlying Security	rlying Derivative		Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Security				Code	V	(A)	(D)	Dat Exe	e rcisable	Expiration Date	Title	Amo	unt or Number of es		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

Explanation of Responses:

- (1) This Amendment is being filed to correctly reflect a purchase of 13,417 shares of Spark Energy, Inc.'s Class A common stock instead of 11,518 shares of Class A common stock, as reported on the original Form 4. The number of shares of Class A common stock reported as holdings has been updated from 1,010,240 shares of Class A common stock to 1,036,513 shares of Class A common stock to reflect the correct transaction amount, as well as other unreported transactions and amendments that are being reported on or about the date hereof. The purchase of Class A common stock reported herein by the reporting person may be matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended. The reporting person has agreed to disgorge any short swing profits associated with such matching transactions.
- (2) The price reported in Column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$8.25 to \$8.3, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Reporting Owners

Reporting Owner Name / Address		Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Maxwell W Keith III							
12140 WICKCHESTER LANE, SUITE 100	X	X					
HOUSTON, TX 77079							
TxEx Energy Investments, LLC							
12140 WICKCHESTER LANE		X					
SUITE 100							
HOUSTON, TX 77079							
Retailco, LLC							
12140 WICKCHESTER LANE		X					
SUITE 100		Λ					
HOUSTON, TX 77079							

Signatures

/s/ W. Keith Maxwell III				
** Signature of Reporting Person	Date			
/s/ W. Keith Maxwell III, Chief Executive Officer of Retailco, LLC				
** Signature of Reporting Person	Date			
/s/ W. Keith Maxwell III, Chief Executive Officer of TxEx Energy Investments, LLC				
** Signature of Reporting Person	Date			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.