

# SPARK ENERGY, INC. Reported by EVANS NICK W JR

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 03/27/19 for the Period Ending 03/25/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol						ool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Evans Nick W Jr					Spark Energy, Inc. [ SPKE ]								nicuoic)		00/ 0	
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							X Director Officer (giv	e title below		0% Owner ther (specify	below)
12140 WICKCHESTER LANE, SUITE 100				TE	3/25/2019											
	(Stre	et)		4. I	f An	nendmen	it, Date C	Origina	al Fi	led (MM/I	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	(Check App	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)												X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
		F	Гable I - N	Non-Der	ivati	ive Secu	rities Ac	quire	ed, D	isposed (	of, or Ber	neficially Owne	ed			
1. Title of Security (Instr. 3)  2. Trans. D				Date 2A. Deemed Execution Date, if any  Code  23. Trans. C (Instr. 8)			or Disposed of (D) Fol		Amount of Securities Beneficially Owned sollowing Reported Transaction(s) sstr. 3 and 4)		Form:	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
	Tabl	le II - Deriv	vative Sec	urities I	Bene	ficially (	Owned (	e.g. ,	puts	s, calls, w	arrants,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercis		Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	3/25/2019		A		8800		<u>(2</u>	2)	(2)	Class A Common Stock		\$0	15326 (3)	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs will vest in full on May 18 following the grant year following the grant year.
- (3) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### **Reporting Owners**

reporting owners							
Paparting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Evans Nick W Jr 12140 WICKCHESTER LANE SUITE 100							
HOUSTON, TX 77079							

#### **Signatures**

/s/ Nick W. Evans Jr., by C. Alexis Keene, Attorney-in-fact

3/27/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	