

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 02/10/16 for the Period Ending 02/08/16

Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE Fiscal Year 12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer
		(Check all applicable)
Maxwell W Keith III	Spark Energy, Inc. [ SPKE ]	
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	<b>X</b> _Director <b>X</b> _10% Owner
		Officer (give title below) Other (specify below)
12140 WICKCHESTER LANE, SUITE	2/8/2016	
100,		
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)
HOUSTON, TX 77079 (City) (State) (Zip)		Form filed by One Reporting Person X _ Form filed by More than One Reporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

					,		,	v		
1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	3. Trans. Co (Instr. 8)	. Trans. Code Instr. 8) 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		Disposed of (D)		Form:	7. Nature of Indirect Beneficial	
			Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock	2/8/2016		s <u>(1)</u>		3213	D	\$25.004 <sup>(2)</sup>	978953	Ι	See footnote (3)
Class A Common Stock	2/9/2016		s <u>(4)</u>		17525	D	\$25.0201 (5)	961428	I	See footnote (3)
Class A Common Stock								92850	D	
1										

### Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)

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<ol> <li>Title of Derivate</li> </ol>	2.	<ol><li>Trans.</li></ol>	3A. Deemed	4. Trans. C						7. Tit	le and Amount of	8. Price of	9. Number of	10.	<ol><li>Nature</li></ol>
Security	Conversion	Date	Execution	(Instr. 8)		Derivative	Securities	Expiration I	Date	Secur	ities Underlying	Derivative	derivative	Ownership	of Indirect
(Instr. 3)	or Exercise		Date, if any			Acquired (	A) or	-		Deriv	ative Security	Security	Securities	Form of	Beneficial
	Price of					Disposed o	f (D)			(Instr	3 and 4)	(Instr. 5)	Beneficially	Derivative	Ownership
	Derivative					(Instr. 3, 4	and 5)			-	-		Owned	Security:	(Instr. 4)
	Security												Following	Direct (D)	
								Date	Expiration		Amount or Number of		Reported	or Indirect	
								Exercisable	Date	Title	Amount or Number of Shares		Transaction(s)	(I) (Instr.	
				Code	V	(A)	(D)						(Instr. 4)	4)	

### **Explanation of Responses:**

- (1) The sale of Class A Common Stock reported herein by Retailco, LLC ("Retailco") was matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended, with the purchase by W. Keith Maxwell III, the indirect owner of Retailco, of 3,213 shares of Class A Common Stock which were purchased on December 1, 2015. W. Keith Maxwell III has agreed to pay Spark Energy, Inc., upon settlement of the sale \$22,195.08, representing the full amount of the profit realized in connection with the short-swing transaction.
- (2) The price reported in column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$25.00 to \$25.01, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (3) Held directly by Retailco. Retailco is a wholly owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell.
- (4) The sale of Class A Common Stock reported herein by Retailco, LLC ("Retailco") was matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended, with the purchase by W. Keith Maxwell III, the indirect owner of Retailco, of 17,525 shares of Class A Common Stock which were purchased on December 1, 2015. W. Keith Maxwell III has agreed to pay Spark Energy, Inc., upon settlement of the sale \$121,343.05, representing the full amount of the profit realized in connection with the short-swing transaction.
- (5) The price reported in column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$25.00 to \$25.05, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	ĺ

Maxwell W Keith III			
12140 WICKCHESTER LANE, SUITE 100	Х	X	
HOUSTON, TX 77079			
TxEx Energy Investments, LLC			
12140 WICKCHESTER LANE		x	
SUITE 100		Λ	
HOUSTON, TX 77079			
Retailco, LLC			
12140 WICKCHESTER LANE		x	
SUITE 100		Λ	
HOUSTON, TX 77079			

/s/ W. Keith Maxwell III, by Gil Melman, Attorney-in-fact	2/10/2016
** Signature of Reporting Person	Date
/s/ Retailco, LLC, by Gil Melman, Attorney-in-fact	2/10/2016
** Signature of Reporting Person	Date
/s/ TxEx Investments, LLC, by Gil Melman, Attorney-in-fact	2/10/2016
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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