

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/21/19 for the Period Ending 05/18/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hartwick Kenneth Michael				Sp	Spark Energy, Inc. [SPKE]												
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)								X _ Director 10% Owner Officer (give title below) Other (specify below)				
12140 WICKCHESTER LANE SUITE					5/18/2019												
	(Stre	eet)		4.]	lf An	nendm	ent, Date C	Origin	nal Fil	ed (MM/I	DD/YYYY	7) 6.	Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)												=	X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
		,	Гable I -	Non-Der	ivati	ive Sec	curities Ac	quir	ed, Di	isposed	of, or B	enef	icially Own	ed			
1. Title of Security (Instr. 3) 2. Trans. I			Trans. Date	Exec	Deemed ution if any	3. Trans. Co (Instr. 8)	ode	4. Securities Acquor Disposed of (E) (Instr. 3, 4 and 5)		Following R		wing Reported	unt of Securities Beneficia ng Reported Transaction(s and 4)		Form:	7. Nature of Indirect Beneficial Ownership	
							Code	V	Amou	unt (A)						or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common S	tock		5/	18/2019			M		4242	<u>(1)</u> A	\$0		,	47841		D	
	Tabl	le II - Deri	vative Se	curities l	Bene	ficially	y Owned (e.g. ,	, puts	, calls, v	varrant	s, op	tions, conve	ertible sec	curities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deeme Execution Date, if any	Code	Derivativ Securities (A) or Di (D)				6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		erlying urity	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exerc	cisable	Expiration Date	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(2)	5/18/2019		М			4242 (1)	1	(2)	<u>(2)</u>	Class Comm Stock	ion	4242.0	\$0	11084 (3)	D	
Restricted Stock Unit	<u>(2)</u>	5/18/2019		D			2284 (1)	1	(2)	<u>(2)</u>	Class Comm Stock	ion	2284.0	\$10.25	8800 <u>(3)</u>	D	

Explanation of Responses:

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), reported represents shares issued as a result of vesting of 6,526 restricted stock units (the "Restricted Stock Units") on May 18, 2019. Of the 6,526 Restricted Stock Units, 4,242 Restricted Stock Units were settled in shares of Class A Common Stock and 2,284 Restricted Stock Units were cash settled based on the closing price on May 17, 2019.
- (2) Each Restricted Stock Unit represents a right to receive, upon vesting, one share of Class A Common Stock of Spark Energy, Inc., cash, or a combination of both. Each Restricted Stock Unit includes tandem dividend equivalents which will vest upon the same schedule as the underlying Restricted Stock Unit.
- (3) Balance includes original grants of Restricted Stock Units and dividend equivalents issued in additional Restricted Stock Units.

Reporting Owners

Reporting Owners								
Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director 10% Owner Officer		Other					
Hartwick Kenneth Michael								
12140 WICKCHESTER LANE SUITE 100	X							
HOUSTON, TX 77079								

Signatures

/s/ Kenneth M. Hartwick, by C. Alexis Keene as Attorney-in-Fact

5/21/2019

** Signature of Reporting Person

Date

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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