

# SPARK ENERGY, INC. Reported by LANE ROBERT LAWRENCE

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 05/21/19 for the Period Ending 05/18/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *			2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
LANE ROBERT LAWRENCE	5	Spark	k Ene	rgy, Inc	.[8	SPKE	1			incable)				
(Last) (First) (Middle	, 3	3. Date of Earliest Transaction (MM/DD/YYYY)						Director10% Owner X Officer (give title below) Other (specify below)						
12140 WICKCHESTER LANE, 100	SUITE			5/1	18/2	2019			Former Vice	Presiden	t and CFO			
(Street)	4	4. If Ar	nendm	ent, Date (	Orig	inal Fi	ed (MM/	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)	
HOUSTON, TX 77079 (City) (State) (Zip)									X Form filed by		orting Person One Reporting P	erson		
	ole I - Non-D	)erivat	ive Se	curities Ac	cani	red. D	isnosed	of, or Be	neficially Owne	ed .				
		te 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		<u> </u>		ired (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
				Code	V	Amoun	(A) or (D)	Price					(Instr. 4)	
Class A Common Stock	5/18/2019			M		15241 (1)	A	\$0		30145		D		
Class A Common Stock	5/18/2019			F		6005 (2)	D	\$10.25 (3)		24140		D		
Table II - Derivat	ive Securitie	es Bene	eficiall	y Owned (	( e.g.	. , puts	, calls, v	varrants,	options, conve	rtible sec	eurities)	•		
Security Conversion Date Exe	Deemed 4. Tra ecution e, if any (Instr.		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative (Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Cod	le V	(A)	(D)	Date Exe	e rcisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)		
Restricted Stock (1) 5/18/2019	М			15241		<u>(1)</u>	<u>(1)</u>	Class A Commo Stock		\$0	61499	D		

#### **Explanation of Responses:**

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), reported represents shares issued as a result of vesting of 15,241 restricted stock units (the "Restricted Stock Units") on May 18, 2019.
- (2) Payment for tax liability through withholding shares of Spark Energy, Inc. Class A Common Stock vesting on May 18, 2019 in an amount equal to the requisite withholding obligation.
- (3) Vesting price is based on the closing price of the Class A Common Stock on May 17, 2019, pursuant to the Spark Energy, Inc. Amended and Restated Long Term Incentive Plan.

Reporting Owners

	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
LANE ROBERT LAWRENCE								
12140 WICKCHESTER LANE			Former Vice President and CFO					
SUITE 100			Former vice rresident and CFO					
HOUSTON, TX 77079								

#### **Signatures**

/s/ Alexis Keene, attorney-in-fact for Robert Lane

5/21/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.