

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 11/20/18 for the Period Ending 11/16/18

Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE Fiscal Year 12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
		(Check all applicable)			
Maxwell W Keith III	Spark Energy, Inc. [ SPKE ]				
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X_Director X_10% Owner			
		Officer (give title below) Other (specify below)			
12140 WICKCHESTER LANE, SUITE	11/16/2018				
100,					
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)			
HOUSTON, TX 77079		Form filed by One Reporting Person X Form filed by More than One Reporting Person			
(City) (State) (Zip)					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

				-		-		-		
1. Title of Security (Instr. 3)		2A. Deemed Execution Date, if any	(Instr. 8) Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form:	7. Nature of Indirect Beneficial		
			Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	
Class A Common Stock	11/16/2018		Р		4800	Α	\$8.43 ( <u>1</u> )	1339407	D	
Class A Common Stock								1328008	I (2)	See footnotes

### Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)

1. Title of Derivate	2.	3. Trans.	3A. Deemed	4. Trans. 0	Code	5. Number	of	6. Date Exer	cisable and	7. Tit	le and Amount of	8. Price of	9. Number of	10.	11. Nature
Security	Conversion	Date	Execution	(Instr. 8)		Derivative Securities E		Expiration Date		Securities Underlying		Derivative	derivative	Ownership	of Indirect
(Instr. 3)	or Exercise		Date, if any			Acquired (A) or			Derivative Security		Security	Securities	Form of	Beneficial	
	Price of					Disposed of (D)		(Instr. 3 and 4)		(Instr. 5)	Beneficially	Derivative	Ownership		
	Derivative					(Instr. 3, 4 and 5)							Owned	Security:	(Instr. 4)
	Security					-							Following	Direct (D)	
	-							Date	Expiration		Amount or Number of		Reported	or Indirect	
								Exercisable	Date	Title	Amount or Number of Shares		Transaction(s)	(I) (Instr.	
				Code	V	(A)	(D)						(Instr. 4)	4)	

### **Explanation of Responses:**

- (1) The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$8.40 to \$8.47, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (2) Held directly by Retailco, LLC ("Retailco"). Retailco is a wholly-owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell III.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Maxwell W Keith III							
12140 WICKCHESTER LANE, SUITE 100	Χ	Х					
HOUSTON, TX 77079							
Retailco, LLC							
12140 WICKCHESTER LANE		x					
SUITE 100		Λ					
HOUSTON, TX 77079							
TxEx Energy Investments, LLC							
12140 WICKCHESTER LANE		х					
SUITE 100		Λ					
HOUSTON, TX 77079							

#### Signatures

/s/ W. Keith Maxwell III, by Gil Melman, Attorney-in-fact

11/20/2018

** Signature of Reporting Person	Date		
/s/ Retailco, LLC, by Gil Melman, Attorney-in-fact	11/20/2018		
** Signature of Reporting Person	Date		
/s/ TxEx Energy Investments, LLC, by Gil Melman, Attorney-in-fact	11/20/2018		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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