

SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 11/16/18 for the Period Ending 11/14/18

Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE Fiscal Year 12/31

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FORM 4	
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*]	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Maxwell W Keith III (Last) (First) (Middle) 12140 WICKCHESTER LANE, SUITE 100,	Spark Energy, Inc. [SPKE] 3. Date of Earliest Transaction (MM/DD/YYYY) 11/14/2018	X _ Director _ X _ 10% Owner Officer (give title below) Other (specify below)			
	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)			
HOUSTON, TX 77079 (City) (State) (Zip)		Form filed by One Reporting Person _ X _ Form filed by More than One Reporting Person			

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

				-		-		-		
1. Title of Security (Instr. 3)	2. Trans. Date	2A. Deemed Execution Date, if any	(Instr. 8) Disposed of (D)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form:	7. Nature of Indirect Beneficial		
			Code	v	Amount	(A) or (D)	Price		Direct (D) or Indirect (I) (Instr. 4)	
Class A Common Stock	11/14/2018		Р		3075	Α	\$8.99 <u>(1)</u>	1331106	D	
Class A Common Stock								1328008	I (2)	See footnotes

Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)

1. Title of Derivate	2.	3. Trans.	3A. Deemed	4. Trans.						7. Tit	le and Amount of	8. Price of	9. Number of	10.	11. Nature
Security	Conversion	Date	Execution	(Instr. 8)		Derivative	Securities	Expiration I	Date	Secur	tities Underlying	Derivative	derivative	Ownership	of Indirect
(Instr. 3)	or Exercise		Date, if any			Acquired (A) or	-		Deriv	ative Security	Security	Securities	Form of	Beneficial
	Price of					Disposed o	f (D)			(Instr	. 3 and 4)	(Instr. 5)	Beneficially	Derivative	Ownership
	Derivative					(Instr. 3, 4	and 5)			-	-		Owned	Security:	(Instr. 4)
	Security												Following	Direct (D)	
	-							Date	Expiration		Amount or Number of		Reported	or Indirect	
								Exercisable	Date	Title	Amount or Number of Shares		Transaction(s)	(I) (Instr.	
				Code	V	(A)	(D)						(Instr. 4)	4)	

Explanation of Responses:

- (1) The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$8.70 to \$9.16, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (2) Held directly by Retailco, LLC ("Retailco"). Retailco is a wholly-owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell.

Reporting Owners

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Maxwell W Keith III						
12140 WICKCHESTER LANE, SUITE 100	Х	Х				
HOUSTON, TX 77079						
Retailco, LLC						
12140 WICKCHESTER LANE		х				
SUITE 100		Λ				
HOUSTON, TX 77079						
TxEx Energy Investments, LLC						
12140 WICKCHESTER LANE		х				
SUITE 100		Λ				
HOUSTON, TX 77079						

Signatures

/s/ W. Keith Maxwell III, by Gil Melman, Attorney-in-fact

11/16/2018

** Signature of Reporting Person	Date		
/s/ Retailco, LLC, by Gil Melman, Attorney-in-fact	11/16/2018		
** Signature of Reporting Person	Date		
/s/ TxEx Energy Investments, LLC, by Gil Melman, Attorney-in-fact	11/16/2018		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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