

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 11/15/18 for the Period Ending 11/13/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Maxwell W Keith III				Sp	Spark Energy, Inc. [ SPKE ]												
(Last)	(Last) (First) (Middle)				3. 1	3. Date of Earliest Transaction (MM/DD/YYYY)						()	X Director	ve title below		10% Owner ther (specify	
12140 WICKCHESTER LANE, SUITE 100,						11/13/2018											
(Street)				4. 1	4. If Amendment, Date Original Filed (MM/DD/YYYY)						YY) 6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)													Form filed by One Reporting Person X Form filed by More than One Reporting Person				
			Table	I - Non-	-Der	ivati	ive Sec	urities A	cqui	red, Di	sposed o	of, or	Beneficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans. Da			2A. Deemed Execution Date, if any		tion	3. Trans. Code (Instr. 8)		4. Securities Acquired Disposed of (D) (Instr. 3, 4 and 5)		ired (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership		
								Code	v	Amount	(A) or (D)	Price	;			or Indirect (I) (Instr. 4)	
Class A Common Stock 11/13/2018			.8			P		7406	A	\$9.29	(1)	1328031		D			
Class A Common Stock													1328008		I (2)	See footnotes	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Ex-	3A. Dee Execution Date, if a	on (Ins	Frans. Code str. 8)		Code 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date		Secur Deriv	e and Amount of ities Underlying ative Security 3 and 4)	Derivative Security	derivative Securities Beneficially Owned	Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				C	ode	V	(A)	(D)	Da Exc	te ercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$9.09 to \$9.46, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (2) Held directly by Retailco, LLC ("Retailco"). Retailco is a wholly-owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Maxwell W Keith III					
12140 WICKCHESTER LANE, SUITE 100	X	X			
HOUSTON, TX 77079					
Retailco, LLC					
12140 WICKCHESTER LANE		X			
SUITE 100		Λ			
HOUSTON, TX 77079					
TxEx Energy Investments, LLC					
12140 WICKCHESTER LANE		X			
SUITE 100		Λ			
HOUSTON, TX 77079					

#### **Signatures**

/s/ W. Keith Maxwell III, by Gil Melman, Attorney-in-fact

11	1/1	5	/2	01	8

/s/ Retailco, LLC, by Gil Melman, Attorney-in-fact	11/15/2018
**Signature of Reporting Person	Date
/s/ TxEx Energy Investments, LLC, by Gil Melman, Attorney-in-fact	11/15/2018
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Signature of Reporting Person

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Date