

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 09/26/18 for the Period Ending 09/20/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. I	2. Issuer Name and Ticker or Trading Symbol						ool		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Maxwell W	Keith III				Sp	ark	Ener	gy, Inc	.[8	SPKE	]			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
(Last)	(Last) (First) (Middle)			3. I	3. Date of Earliest Transaction (MM/DD/YYYY)						()		X _ Director X 10% Owner Officer (give title below) Other (specify below)					
12140 WICKCHESTER LANE, SUITE 100,						9/20/2018												
	(Stre	et)			4. I	If An	nendme	nt, Date	Orig	inal Fil	ed (MM/E	DD/YYY	Y) 6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)	
HOUSTON, TX 77079 (City) (State) (Zip)												Form filed by One Reporting Person X_Form filed by More than One Reporting Person						
			Table	I - Non	-Der	ivati	ve Seci	ırities A	cqui	red, Di	sposed o	of, or I	Beneficially Own	ed				
1.Title of Security (Instr. 3) 2. Trans.			2. Trans. l			2 A. Deemed Execution Date, if any Code		ode	4. Securities Acquired Disposed of (D) (Instr. 3, 4 and 5)		ired (A)	5. Amount of Securification 5. Amount of Securification (Instr. 3 and 4)	ties Beneficially Owned Transaction(s)		Ownership Form:	7. Nature of Indirect Beneficial Ownership		
								V	Amount	(A) or (D)	Price					(Instr. 4)		
Class A Common Stock 9/20/2				9/20/201	8			P (1)		11518	A	\$8.27	2) 1	1010240		D		
				9/24/2018			P (1)		10000	A	\$8.10	1020240		D				
Class A Common Stock 9/25/20				9/25/201	18			P (1)		2500	A	\$7.95	1022740		D	-		
Class A Common Stock												642882		I (3)	See footnotes			
	Tabl	le II - Der	ivative	Securi	ties I	Bene	ficially	Owned	( <b>e.g</b> .	. , puts,	calls, w	arran	ts, options, conve	rtible sec	urities)			
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	sion Date	Execution			Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			6. Date Exercisable and Expiration Date			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security			(	Code	v	(A)	(D)	Da Ex	te ercisable	Expiration Date		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		

#### **Explanation of Responses:**

- (1) The purchase of Class A Common Stock reported herein by the reporting person may be matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended, with the sale of shares of Class A Common Stock which occurred in the past six months.
- (2) The price reported in column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$8.25 to \$8.30, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (3) Held directly by Retailco, LLC ("Retailco"). Retailco is a wholly-owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Maxwell W Keith III						
12140 WICKCHESTER LANE, SUITE 100	X	X				
HOUSTON, TX 77079						
TxEx Energy Investments, LLC						
12140 WICKCHESTER LANE		X				
SUITE 100						
HOUSTON, TX 77079						
Retailco, LLC						
12140 WICKCHESTER LANE		X				
SUITE 100		Λ				

HOUSTON, TX 77079				
Signatures				
/s/ W. Keith Maxwell III, by Gil Melm	9/26/2018			
**Signature of Repo	rting Person			Date
/s/ TxEx Energy Investments, LLC, by	9/26/2018			
**Signature of Repo	rting Person			Date
/s/ Retailco, LLC, by Gil Melman, Att	orney-in-fa	ct		9/26/2018
** Signature of Peno	rtina Darson			Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.