

# SPARK ENERGY, INC. Reported by GARRETT JASON K.

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 06/19/18 for the Period Ending 06/15/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. 1	2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Garrett Jaso	n K.			Sp	ark	Ener	gy, Inc	. [ SI	PKE	E ]					0/ 0	
(Last)	(First	) (Mie	ddle)	3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)						X Officer (s	give title belo		% Owner Other (speci	fy below)	
12140 WICKCHESTER LANE SUITE 100					6/15/2018							Executive VI		, <u> </u>		
	(Stre	eet)		4. ]	If An	nendmei	nt, Date (	Origin	al Fi	led (MM/I	DD/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON,	<b>TX 7707</b> ity) (Sta		)									X Form filed by	oy One Repo More than (	rting Person One Reporting F	'erson	
		,	Table I - 1	Non-Dei	ivati	ive Secu	ırities A	cquire	ed, D	isposed	of, or Be	neficially Own	ed			
1.Title of Security (Instr. 3)			2. T	rans. Date	Execu		3. Trans. C (Instr. 8)	V	or Di	sposed of (I . 3, 4 and 5) (A) o	1 (	5. Amount of Securit Following Reported (Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)
	Tab	le II - Deri	vative Sec	curities l	Bene	ficially	Owned (	( e.g. ,	puts	s, calls, w	varrants	, options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	Code		5. Number of Derivative Securities Acqu (A) or Disposed (D) (Instr. 3, 4 and		Expiratio equired sed of				Underlying Security	Derivative Security	9. Number of derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)(2)	6/15/2018		A		863 (3	).	C	<u>2)</u>	(2)	Class A Commo Stock		\$10	48462 (4)	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (3) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Garrett Jason K.					
12140 WICKCHESTER LANE SUITE 100			Executive VP, Retail		
HOUSTON, TX 77079					

#### **Signatures**

/s/ Jason K Garrett, by Gil Melman, as Attorney-in-Fact	6/19/2018
**Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.