

# SPARK ENERGY, INC. Reported by LANE ROBERT LAWRENCE

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 06/19/18 for the Period Ending 06/15/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. ]	2. Issuer Name and Ticker or Trading Symbol						ool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
LANE ROBI	ERT LAV	WRENC	E	Sp	ark	Energ	gy, Inc	. [ S]	PKE	2]		Director	,	10	)/ O	
(Last) (First) (Middle)				3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)						Director10% Owner  X Officer (give title below) Other (specify below)					
12140 WICKCHESTER LANE, SUITE 100					6/15/2018							Vice Presider				,
	(Stree	et)		4. ]	If An	nendmer	nt, Date	Origir	nal Fil	led (MM/I	DD/YYYY)	6. Individual o	or Joint/G	roup Filing (	Check Appl	icable Line)
HOUSTON,			o)									X Form filed by		rting Person One Reporting P	erson	
		,	Table I -	Non-Der	ivati	ive Secu	rities A	cquir	ed, D	isposed (	of, or Bene	ficially Owne	ed			
1.Title of Security (Instr. 3)				Date 2A. Deemed Execution Date, if any  Code  23. Trans. Co (Instr. 8)		V	or Dis	posed of (I 3, 4 and 5) (A) o	Pol (Ins	mount of Securities Beneficially Owned owing Reported Transaction(s) r. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership			
	Tabl	le II - Deri	vative Se	curities l	Bene	ficially (	Owned (	( e.g.	, puts	, calls, w	arrants, o	ptions, conve	rtible sec	urities)		
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	3A. Deeme Execution Date, if any	Code	Derivative		Acquired sposed of	Acquired osed of			7. Title and A Securities Un Derivative Se (Instr. 3 and 4	derlying curity	8. Price of Derivative Security (Instr. 5)	Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	Beneficial
				Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)(2)	6/15/2018		A		617 (3)	L	1	(2)	(2)	Class A Common Stock	617.0	\$10	34670 (4)	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (3) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Ivanie / Address	Director	10% Owner	Officer	Other				
LANE ROBERT LAWRENCE								
12140 WICKCHESTER LANE			Vice President and CFO					
SUITE 100			vice i resident and Cro					
HOUSTON, TX 77079								

#### **Signatures**

By Gil Melman, attorney-in-fact for Robert Lane

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.