

SPARK ENERGY, INC. Reported by KROEKER NATHAN

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 06/08/18 for the Period Ending 05/18/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kroeker Nat	han			S	Sparl	k Ene	rgy, Inc	.[8	SPKE	2]			onedore)		201.0	
(Last) (First) (Middle)				3	3. Date of Earliest Transaction (MM/DD/YYYY)							X _ Director 10% Owner X _ Officer (give title below) Other (specify below)				
12140 WICKCHESTER LANE SUITE 100							5/1	18/2	2018			President & C		, <u> </u>	\1	,
	(Stre	eet)		4	. If A	mendm	ent, Date (Orig	inal Fi	led (MM/	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON,												X Form filed by	oy One Repo	rting Person One Reporting F	'erson	
(C	ity) (Sta	ite) (Zip)	ļ												
		-	Γable I	- Non-D	erivat	tive Se	curities A	cqui	red, D	isposed	of, or Be	neficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans. Da			Γrans. Date			3. Trans. Code (Instr. 8)		4. Securities Acquire Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owner Following Reported Transaction(s) (Instr. 3 and 4)			6. Ownership Form: Direct (D)	7. Nature of Indirect Beneficial Ownership	
							Code	V	Amoun	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common Stock 5/18/2018				/18/2018			M		41371 (1)	A	\$0	116747			D	
Class A Common Stock 5/18/2018				/18/2018			F		16298 (2)	D	\$10.10 ⁽³⁾	100449		D		
	Tab	le II - Deri	vative S	Securities	s Beno	eficiall	y Owned ((e.g.	. , puts	, calls, v	warrants.	options, conve	rtible sec	curities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deem Execution Date, if an			Derivative		e Expira s Acquired sposed of				Underlying Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	e V	(A)	(D)	Date Exe	e rcisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	5/18/2018		М			41371		<u>(1)</u>	<u>(1)</u>	Class A Commo Stock		\$0	92162	D	

Explanation of Responses:

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock") reported represent shares issued as a result of vesting of 41,371 Restricted Stock Units ("RSU") on May 18, 2018.
- (2) Payment for tax liability through the withholding of shares of Spark Energy, Inc. Class A Common Stock vesting on May 18, 2018 in an amount equal to the requisite withholding obligation.
- (3) Vesting price is based on the closing price of Class A Common Stock on May 18, 2018, pursuant to the Spark Energy, Inc. Amended and Restated Long Term Incentive Plan.

Reporting Owners

reporting 6 where							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	rirector 10% Owner Officer		Other			
Kroeker Nathan							
12140 WICKCHESTER LANE SUITE 100	X		President & CEO				
HOUSTON, TX 77079							

Signatures

/s/ Nathan Kroeker, by Gil Melman as Attorney-in-Fact 6/7/2018
**Signature of Reporting Person Date

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.