

SPARK ENERGY, INC. Reported by GARRETT JASON K.

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 06/08/18 for the Period Ending 05/18/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol						ibol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Garrett Jaso	n K.			\mathbf{S}	park	k Ene	rgy, Inc	.[8	SPKE	2]						
(Last)	(First) (Mic	(Middle)			3. Date of Earliest Transaction (MM/DD/YYYY)						X Officer (g	ivo titla hala		% Owner Other (speci	G. balana)
12140 WICKCHESTER LANE SUITE 100				ΓE	5/18/2018							Executive VP		,w)	Other (speci	iy below)
	(Stre	eet)		4.	If Ar	nendm	ent, Date (Orig	inal Fi	led (MM	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON,			,									X Form filed b		rting Person One Reporting F	'erson	
(C	ity) (Sta	ite) (Zip)													
		5	Гable I -	Non-De	erivat	ive Se	curities Ac	cqui	red, D	isposed	of, or Be	neficially Owne	ed			
1. Title of Security (Instr. 3)			ans. Date			3. Trans. Coo (Instr. 8)	de 4. Securities Acquired (Disposed of (D) (Instr. 3, 4 and 5)			uired (A) or	r 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			6. 7. Nature Ownership Form: Beneficial		
							Code	v	Amoun	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock 5/18/2018				8/2018			M		23599 (1)	A	\$0	55391			D	
Class A Common Stock 5/18/2018				8/2018			F		9244 (2)	D	\$10.10 (<u>3</u>)	46147		D		
	Tab	le II - Deri	vative Se	curities	Bene	ficiall	y Owned ((e.g.	. , puts	, calls,	warrants.	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date Exec	3A. Deemed Execution Date, if any	Code	Derivative		tive ies Acquired Disposed of	1		Securities Derivative	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date	e rcisable	Expiration Date	¹ Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	5/18/2018		M			23599		<u>(1)</u>	<u>(1)</u>	Class A Commo Stock		\$0	47599	D	

Explanation of Responses:

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock") reported represent shares issued as a result of vesting of 23,599 Restricted Stock Units ("RSU") on May 18, 2018.
- (2) Payment for tax liability through the withholding of shares of Spark Energy, Inc. Class A Common Stock vesting on May 18, 2018 in an amount equal to the requisite withholding obligation.
- (3) Vesting price is based on the closing price of Class A Common Stock on May 18, 2018, pursuant to the Spark Energy, Inc. Amended and Restated Long Term Incentive Plan.

Reporting Owners

tteporting o where				
Penarting Owner Name / Address	Relationships			
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Garrett Jason K.				
12140 WICKCHESTER LANE SUITE 100			Executive VP, Retail	
HOUSTON, TX 77079				

Si	gn	at	u	r	es

/s/ Jason K Garrett, by Gil Melman, as Attorney-in-Fact	6/7/201	
** Signature of Reporting Person	Date	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.