

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Jones James G II					Via Renewables, Inc. [ VIA ]									Í	100/	0	
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)								Director 10% Owner  X Officer (give title below) Other (specify below)				
12140 WICKCHESTER LANE SUITE 100						9/15/2021							Chief Financial Officer				
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)							) 6.	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77079 (City) (State) (Zip)												_2	X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Table I - N	Non-Der	ivat	ive Secu	ırities A	cqui	ired, D	isposed (	of, or Be	enef	icially Owne	ed			
1.Title of Security (Instr. 3)  2. Trans. D					Exec	Deemed ution , if any	3. Trans. ( (Instr. 8)	Code	or Dis	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			ollowing Reported Transaction(s) Ownership of Indi nstr. 3 and 4) Ownership Form:			7. Nature of Indirect Beneficial Ownership	
							Code	ν	' Amoi	(A) or (D)	Price					(Instr. 4)	
	Tal	ole II - Der	ivative Se	curities	Ben	eficially	Owned	(e.g	., puts	, calls, wa	arrants,	, opt	ions, conver	tible secu	ırities)		
(Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)	5. Number Derivative Acquired ( Disposed o (Instr. 3, 4		e Securities (A) or of (D)		6. Date Exercisable and Expiration Date		7. Title and A Securities Un Derivative S (Instr. 3 and		erlying urity	Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	e V (A)		(D)		te ercisable	Expiration Date	Title		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)	9/15/2021		A		164 (2)	1		(3)	(3)	Class Comm Stock	on	164.0	\$11.26 <sup>(4)</sup>	12470 <sup>(5)</sup>	D	
Restricted Stock Unit	(1)	9/15/2021		A		1388 (2	2)		<u>(6)</u>	<u>(6)</u>	Class Comm Stock	on	1388.0	\$11.26 <sup>(4)</sup>	85500 <sup>(5)</sup>	D	

## **Explanation of Responses:**

- (1) Each restricted stock unit ("Restricted Stock Unit") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Via Renewables, Inc., cash, or a combination of both. Each Restricted Stock Unit includes tandem dividend equivalents which will vest upon the same schedule as the underlying Restricted Stock Unit.
- (2) These Restricted Stock Units accrued on outstanding Restricted Stock Units held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These Restricted Stock Units vest ratably over the next two years on May 18, 2022 and May 18, 2023.
- (4) The price is based on the closing price on Wednesday, September 1, 2021.
- (5) Balance includes original grants of Restricted Stock Units and dividend equivalents issued in additional Restricted Stock Units.
- (6) These Restricted Stock Units vest ratably over four years in May of each year starting in the year following the grant.

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Jones James G II								
12140 WICKCHESTER LANE SUITE 100		Chief Financial Offi						
HOUSTON, TX 77079								

#### **Signatures**

/s/ James G. Jones II, by Dominique R. Colvard, Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.