

# SPARK ENERGY, INC. Reported by KROEKER NATHAN

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 11/15/16 for the Period Ending 11/15/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kroeker Nat	han				Sp	ark	Ener	gy, Inc.	[SI	PKE	]								
(Last)	(First	t) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)								X Director	X Director 10% Owner  X Officer (give title below) Other (specify below)				
12140 WICKCHESTER LANE SUITE 100						11/15/2016								President &	-	,	<b>\</b> 1		
	(Street)				4. I	4. If Amendment, Date Original Filed (MM/DD/YYYY)								Y) 6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77079 (City) (State) (Zip)														X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table I	- Non	-Der	ivati	ve Secu	ırities Acc	quire	ed, Di	isposed	l of	<b>f, or</b> ]	Beneficially Own	ed				
1. Title of Security (Instr. 3) 2. Trans. 1			Date	2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	de 4. Securities Acquor Disposed of (D (Instr. 3, 4 and 5)		(D)			. Amount of Securities Beneficially Owned following Reported Transaction(s) Instr. 3 and 4)		6. Ownership Form:	Beneficial				
								Code	V	Amou	(A unt (l		Pric	e			Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
Class A Common Stock 11/15/201				)16			P		50	1	١	\$27		34431					
Title of Derivate		le II - Der	ivative S					`	0 /	•				ts, options, conve			10.	11. Nature	
Security (Instr. 3)	Conversion or Exercise Price of Derivative	Date I	Execution Date, if an	cution (Ins			de 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date				Securi Deriva	ties Underlying ative Security 3 and 4)	Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	Ownership Form of Derivative Security:		
	Security			(	Code	V	(A)	(D)	Date Exerc	cisable	Expirati Date	on		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		

### **Explanation of Responses:**

**Reporting Owners** 

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Kroeker Nathan 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079	X		President & CEO				

#### **Signatures**

/s/ Nathan Kroeker, by Gil Melman as Attorney-in-Fact	11/15/2016		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.