

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name <b>and</b> Ticker or Trading Symbol						ool		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Maxwell W Keith III						Via Renewables, Inc. [ VIA ]								pileable)	<b>T</b> 100	v 0		
(Last)	(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)								X_ DirectorX_ 10% Owner X_ Officer (give title below) Other (specify below)				
12140 WICKCHESTER LANE, SUITE 100						9/15/2021							CEO					
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							Y) 6. Individual	6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)														X Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Table	e I - No	ı-De	rivati	ive Sec	urities Ac	cqui	red, Di	sposed (	of, or l	Beneficially Own	ed				
1.Title of Security (Instr. 3)			2. Trans. Date		2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		Disposed of (D) (Instr. 3, 4 and 5)		ired (A)		5. Amount of Securities Beneficial Following Reported Transaction(s (Instr. 3 and 4)		Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
								Code	V	Amount	` ′	Price				(I) (Instr. 4)		
Class A Common Stock 9/15/202							P		8009	-	\$10.15		3516511					
Class A Common S	tock			9/16/20	21			P		991	A	\$10.11	(2)	3517502		D		
	Tab	le II - Dei	rivativ	e Secu	ities	Bene	eficially	y Owned	(e.g.	, puts,	calls, wa	arran	ts, options, conve	rtible sec	urities)			
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	Execut	BA. Deemed Execution Date, if any		Acq Disp				6. Date Exercisable and Expiration Date		Securi Deriva	e and Amount of ties Underlying ative Security 3 and 4)	Underlying Derivative Security		Ownership of Form of Derivative Security:	Beneficial	
	Security				Code	V	(A)	(D)	Dat Exe	te ercisable	Expiration Date		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)		

### **Explanation of Responses:**

- (1) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$10.09 to \$10.18, inclusive. The reporting person undertakes to provide to Via Renewables, Inc., any security holder of Via Renewables, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (2) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$10.09 to \$10.14, inclusive. The reporting person undertakes to provide to Via Renewables, Inc., any security holder of Via Renewables, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Maxwell W Keith III								
12140 WICKCHESTER LANE, SUITE 100	X	X	CEO					
HOUSTON, TX 77079								

#### **Signatures**

/s/ W. Keith Maxwell III, by Dominique R. Colvard, Attorney-in-Fact

9/20/2021

\*\*Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.