

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

#### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 06/14/19 for the Period Ending 06/13/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2.	2. Issuer Name <b>and</b> Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer						
					C.		E	T	r	DIZE	1			(Check all app	licable)			
Maxwell W	Keith III				2]	park	Enei	rgy, Inc	• [ ː	SPKE	]			v Dimeter		v	100/ 0	
(Last)	(First	) (M	liddle)		3.	3. Date of Earliest Transaction (MM/DD/YYYY)							X DirectorX10% Owner					
														Officer (giv	e title below	/)O	ther (specify	below)
12140 WICKCHESTER LANE, SUITE					E	6/13/2019												
	(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person						
			Table	e I - No	n-De	rivati	ve Sec	urities A	cqui	ired, Di	sposed (	of, or	Ber	neficially Owne	ed			
1.Title of Security (Instr. 3) 2. Trans. Dat			1	Execution Date, if any		(Instr. 8)		4. Securities Acquired (A Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owne Following Reported Transaction(s) (Instr. 3 and 4)			6. 7. Nature Ownership Form: Beneficial Direct (D) Ownership			
								Code	V	Amount	(A) or (D)	Price	÷				or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock 6/13/2019				19			P		1259	A	\$10.96	<u>(1)</u>	2520365			D		
	Tabl	le II - Der	ivativ	e Secu	rities	Bene	ficially	Owned (	( e.g.	. , puts	calls, w	arrar	nts,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	Date E	Execut	Deemed 4. (In te, if any						6. Date Exercisable and Expiration Date			rities rative	nd Amount of Underlying e Security and 4)	erlying Derivative urity Security		Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security				Code	V	(A)	(D)	Da Ex		Expiration Date Title Am Sha	nount or Number of ares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)			

#### **Explanation of Responses:**

(1) The price reported in Column 4 is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$10.89 to \$11.00, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

**Reporting Owners** 

reporting owners							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director 10% Owner Office		Officer	Other			
Maxwell W Keith III							
12140 WICKCHESTER LANE, SUITE 100	X	X					
HOUSTON, TX 77079							

#### **Signatures**

/s/ W. Keith Maxwell III, by C. Alexis Keene, attorney-in-fact	6/14/201
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.