

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 11/14/16 for the Period Ending 11/14/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
Hartwick Kenneth Michael		Sp	ark]	Ener	gy, Inc.	[S	PKE]			pileaole)			
(Last) (First) (Middle		3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							X Director Officer (gi	X _ Director10% Owner Officer (give title below) Other (specify below)			
2140 WICKCHESTER LANE SUITE 00			11/14/2016											
(Street)	(Street)			4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)			
HOUSTON, TX 77079 (City) (State) (Zip)										X Form filed by One Reporting Person Form filed by More than One Reporting Person				
Tal	ole I - Non	-Der	ivativ	e Seci	ırities Ac	quir	ed, Di	sposed o	f, or	Beneficially Own	ed			
1.Title of Security (Instr. 3)		2A. Deem Execution Date, if an		on	on (Instr. 8)		de 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			6. 7. Nature Ownership Form: Beneficial Direct (D) Ownership
					Code	V	Amoun	(A) or (D)	Price				or Indirect (I) (Instr. 4)	
Class A Common Stock	11/14/20	16			P		1000	A	\$26.2)	10777		D	
Table II - Derivat	ve Securi	ties E	Benefi	icially	Owned (e.g.	, puts,	calls, w	arrar	ts, options, conv	ertible sec	curities)		
Security (Instr. 3) Conversion or Exercise Price of Derivative Date Date		Frans. (str. 8)	ss. Code 8) 5. Numb Derivativ Acquired Disposed (Instr. 3,		re Securities (A) or (of (D)		. Date Exercisable and expiration Date		Secur Deriv	e and Amount of ties Underlying ative Security 3 and 4)	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Security		Code	v	(A)	(D)	Date	e rcisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

Explanation of Responses:

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Hartwick Kenneth Michael							
12140 WICKCHESTER LANE SUITE 100	X						
HOUSTON, TX 77079							

Signatures

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact	11/14/2016
**Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.