

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 09/14/16 for the Period Ending 09/13/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hartwick Ko	enneth M	ichael				`	gy, Inc.			-		X Director	,	,	0% Owner	
(Last) (First) (Middle)			3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)					
12140 WICKCHESTER LANE SUITE 100					9/13/2016											
	(Stre	et)		4. I	lf An	nendmen	nt, Date C	Origin	al Fi	led (MM/I	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)											X Form filed by One Reporting Person Form filed by More than One Reporting Person					
		ŗ	Гable I - I	Non-Der	ivati	ive Secu	rities Ac	equire	ed, D	isposed (of, or Bene	eficially Own	ed			
1. Title of Security (Instr. 3)						(Instr. 8)		or Dis (Instr	r Disposed of (D)		Form: Direct (I or Indire			Ownership Form: Direct (D) or Indirect (I) (Instr.	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Tabl	le II - Deri	vative Sec	curities I	Bene	ficially (Owned (e.g. ,	, puts	s, calls, w	varrants, o	ptions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	Code	Derivativ		Acquired sposed of		ate Exercisable and iration Date		7. Title and A Securities Un Derivative Se (Instr. 3 and	derlying ecurity 4)	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form of Derivative Security: Direct (D) or Indirect	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Unit	(1).(2)	9/13/2016		A		108 (3)	L	(2)	<u>(2)</u>	Class A Common Stock	108.0	\$29.20	8832 (4)	D	

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent payment relates.
- (3) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Hartwick Kenneth Michael								
12140 WICKCHESTER LANE SUITE 100	X							
HOUSTON, TX 77079								

Signatures

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact 9/14/2016

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.