

SPARK ENERGY, INC. Reported by GARRETT JASON K.

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 06/18/19 for the Period Ending 06/14/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2 I	2. Issuer Name and Ticker or Trading Symbol							5	5. Relationship of Reporting Person(s) to Issuer				
1. Name and Address of Reporting Person -					- 15000 Traine and Trener of Training Symbol								(Check all applicable)				
Garrett Jason K.					Spark Energy, Inc. [SPKE]												
(Last)	(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)								Director10% Owner				
					(14/2010								XOfficer (give title below)Other (specify below) Executive VP, Retail				
12140 WICKCHESTER LANE SUITE 100						6/14/2019								,			
(Street)					4. If Amendment, Date Original Filed (MM/DD/YYYY)							6.	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77079												_ 2	X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)																	
			Гable I - N	on-Der	ivati	ive Secu	rities A	cquire	d, Di	sposed o	of, or Be	nefi	icially Owne	ed			
1. Title of Security (Instr. 3) 2. Trans. D]	ate 2A. Deemed Execution Date, if any							Following R		ount of Securities Beneficially Owned ving Reported Transaction(s) 3 and 4)		6. 7. Nature Ownership of Indirect Form: Beneficial Direct (D) Ownership	
							Code	v	Amou	ount (A) or Price							Ownership (Instr. 4)
	Tabl	e II - Deri	vative Sec	urities B	Bene	ficially (Owned ((e.g. ,	puts.	, calls, w	arrants	, op	tions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Am Securities Under Derivative Secu (Instr. 3 and 4)		derlying Derivativ curity Security		f 9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercis		Expiration Date	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)	6/14/2019		A		1807 (2	<u> </u>	<u>(3</u>	3)	(3)	Class A Commo Stock		1807.0	\$9.80	99493 (4)	D	
Restricted Stock Unit	<u>(1)</u>	6/14/2019		A		1524 (2	<u> </u>	<u>(5</u>	5)	<u>(5)</u>	Class A Commo Stock		1524.0	\$9.80	83911 ⁽⁴⁾	D	

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These RSUs vest ratably over four years in May of each year starting in the year following the grant.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.
- (5) These RSUs vest upon certain conditions in connection with a "Change in Control" of Spark Energy, Inc. as such term is defined in the Form of Notice of Grant of Restricted Stock Unit (Change in Control Restricted Stock Units), filed as Exhibit 10.5 to Spark Energy, Inc.'s Quarterly Report on Form 10-Q for quarter ended June 30, 2018.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Garrett Jason K.								
12140 WICKCHESTER LANE SUITE 100			Executive VP, Retail					
HOUSTON, TX 77079								

Signatures

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.