

# SPARK ENERGY, INC.

# Reported by **BUSH AMANDA**

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 09/17/19 for the Period Ending 09/15/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Bush Amanda					Spark Energy, Inc. [ SPKE ]								X Director		100	6 Owner	
(Last) (First) (Middle)				3. I	3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)					
12140 WICK	CHERT	ER LN,	SUITE	<b>!00</b>			9/1	5/20	19								
	(Stre	et)		4. I	lf An	nendmen	it, Date (	Origir	nal Fi	led (MM/I	DD/YYYY	) 6.	. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON,	<b>TX 7707</b>		)									_2	X _ Form filed by _ Form filed by		ting Person One Reporting P	'erson	
				Non-Der	ivati	ive Secu	rities Ac	equir	ed, D	isposed	of, or B	enef	icially Owne	ed			
1. Title of Security (Instr. 3)				Execu		3. Trans. Co (Instr. 8)	ode	or Disposed of (D) Fo			Follo	ollowing Reported Transaction(s) astr. 3 and 4)			Form:	Ownership of Indirect Form: Beneficial	
							Code	V	Amo	(A) o unt (D)	r Price						(Instr. 4)
	Tab	le II - Deri	vative Se	curities	Bene	eficially	Owned	(e.g.,	puts	, calls, w	arrants	, opt	tions, conver	tible secu	urities)		_
1. Title of Derivate Security (Instr. 3)		3. Trans. Date	3A. Deeme Execution Date, if any	Code	Derivat Securiti		Acquired posed of	6. Date Exe Expiration I			7. Title and A Securities U. Derivative S (Instr. 3 and		erlying urity		9. Number of derivative Securities Beneficially Owned Following	10. Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exerc	isable	Expiration Date	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	9/15/2019		A		96 <u>(2)</u>		(	<u>3)</u>	<u>(3)</u>	Class Comm Stock	on	96.0	\$9.46 (4)	5096 <sup>(5)</sup>	D	

### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These RSUs will vest in full on May 18, 2020.
- (4) The price is based on the closing price on Friday, August 30, 2019.
- (5) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### Reporting Owners

Reporting Owners									
Reporting Owner Name / Address		Relationships							
Reporting Owner Name / Addres	Director	10% Owner	Officer	Other					
Bush Amanda									
12140 WICKCHERTER LN	x								
SUITE !00	Λ								
HOUSTON, TX 77079									

### **Signatures**

/s/ Amanda Bush, by C Alexis Keene, Attorney-in-Fact 9/1

9/17/2019

\*\*Signature of Reporting Person

Date

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.