

SPARK ENERGY, INC. Reported by BUSH AMANDA

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 12/18/19 for the Period Ending 12/16/19

Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE Fiscal Year 12/31

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| FORM 4 | |
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | |
|--|---|--|--|--|--|
| 1 0 | | (Check all applicable) | | | |
| Bush Amanda | Spark Energy, Inc. [SPKE] | | | | |
| (Last) (First) (Middle) | 3. Date of Earliest Transaction (MM/DD/YYYY) | X_Director10% Owner | | | |
| (Last) (Last) (Middle) | | Officer (give title below) Other (specify below) | | | |
| 12140 WICKCHERTER LN, SUITE 100 | 12/16/2019 | | | | |
| (Street) | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | |
| HOUSTON, TX 77079 (City) (State) (Zip) | | X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security | 2. Trans. Date | 2A. Deemed | 3. Trans. Co | de | 4. Securit | ies Acquir | ed (A) | 5. Amount of Securities Beneficially Owned | 6. | 7. Nature |
|---------------------|----------------|--------------|--------------|----|--------------|------------|--------|--|-------------|-------------|
| (Instr. 3) | | Execution | (Instr. 8) | | or Dispos | ed of (D) | | Following Reported Transaction(s) | Ownership | of Indirect |
| | | Date, if any | | | (Instr. 3, 4 | 4 and 5) | | (Instr. 3 and 4) | Form: | Beneficial |
| | | | | | | | | | Direct (D) | Ownership |
| | | | | | | | | | or Indirect | (Instr. 4) |
| | | | | | | (A) or | | | (I) (Instr. | |
| | | | Code | V | Amount | (D) | Price | | 4) | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 3A. Deemed Execution Date, if any | 4. Trans. Code (Instr. 8) | | 5. Number of Derivative Securities Ac (A) or Dispos (D) (Instr. 3, 4 an | equired sed of | 6. Date Exer Expiration I | Date | 7. Title and An Securities Und Derivative Sec (Instr. 3 and 4) | erlying urity | Derivative Security (Instr. 5) | Securities Beneficially Owned Following | Ownership Form of Derivative Security: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------|---|-------------------|---|---------------------------------|---|--|-------------------|------------------------------|--------------------|---|----------------------------------|--------------------------------------|--|---|--|
| | | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Restricted Stock Unit | <u>(1)</u> | 12/16/2019 | | А | | <u>84 (2)</u> | | <u>(3)</u> | <u>(3)</u> | Class A Common Stock | 84.0 | \$11.03 (<u>4</u>) | 5180 <u>(5)</u> | D | |

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These RSUs will vest in full on May 18, 2020.
- (4) The price is based on the closing price on Monday, December 2, 2019.
- (5) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

| Penarting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Bush Amanda 12140 WICKCHERTER LN SUITE 100 HOUSTON, TX 77079 | X | | | | | | |

Signatures

/s/ Amanda Bush, by Nathan Kroeker, Attorney-in-Fact

12/18/2019 Date

Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.