

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 12/18/19 for the Period Ending 12/16/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *				2. I	2. Issuer Name and Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hartwick K	enneth M	lichael					y, Inc.	•		•		X Director		100	/ 0	
(Last	(Last) (First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)							Officer (give title below) Other (specify below)				
12140 WICI 100	KCHEST	ER LAN	E SUIT	E			12 /1	16/20	19							
	(Str	eet)		4. I	f An	nendmen	t, Date C	rigina	al Fil	ed (MM/D	D/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON,	TX 7707		.									_X _ Form filed b		ting Person One Reporting F	'erson	
	(50			on-Deri	ivati	ive Secu	rities Ac	quirec	d, Di	isposed o	of, or Ber	neficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans. I]	Execution Date, if any (Instr. 3		3. Trans. Co Instr. 8)	(or Dis	osed of (D) Fol (Ins (A) or		Amount of Securities Beneficially Owned lowing Reported Transaction(s) str. 3 and 4)			6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Tal	ole II - Deri	vative Sec	curities l	Bene	eficially	Owned (<i>(e.g.</i> , p	outs,	calls, wa	arrants, o	options, conve	rtible secu	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Securities I Derivative (Instr. 3 an	Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
	Security		_	Code	V	(A)	(D)	Date Exercis		Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	_
Restricted Stock Unit	(1)	12/16/2019		A		150 (2)		(3)	<u> </u>	(3)	Class A Common Stock		\$11.03 ⁽⁴⁾	9285 (5)	D	

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (3) These RSUs will vest in full on May 18, 2020.
- (4) The price is based on the closing price on Monday, December 2, 2019.
- (5) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

reporting 5 whers					
Reporting Owner Name / Address		Relationships			
Reporting Owner Name / Address	Director	10% Owner	Officer	Other	
Hartwick Kenneth Michael					
12140 WICKCHESTER LANE SUITE 100	X				
HOUSTON, TX 77079					

Signatures

/s/ Kenneth M. Hartwick, by Nathan Kroeker as Attorney-in-Fact

12/18/2019

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.