

SPARK ENERGY, INC. Reported by EADS JOHN

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/27/16 for the Period Ending 05/25/16

Address **12140 WICKCHESTER LANE SUITE 100** HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 SPKE Symbol SIC Code 4931 - Electric and Other Services Combined Industry **Electric Utilities** Utilities Sector Fiscal Year 12/31

Powered By EDGAR Online

http://www.edgar-online.com

© Copyright 2020, EDGAR Online, a division of Donnelley Financial Solutions. All Rights Reserved. Distribution and use of this document restricted under EDGAR Online, a division of Donnelley Financial Solutions, Terms of Use.

FORM 4

[X] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*]	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
		(Check all applicable)				
Eads John	Spark Energy, Inc. [SPKE]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X Director 10% Owner				
		Officer (give title below) Other (specify below)				
12140 WICKCHESTER LANE, SUITE	5/25/2016					
100						
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77079		X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Trans. Date		(Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form:	7. Nature of Indirect Beneficial
		Date, if any			(1150. 5, 4	(A) or				Ownership
			Code	V	Amount	(D)	Price		4)	
Class A Common Stock	5/25/2016		М		5000 <u>(1)</u>	Α	\$0	14777	D	

Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)

						i i	0 /1		, I	,		,		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	 n Code Derivative		tive ties Acquired Disposed of	6. Date Exercisable and 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)			erlying urity	8. Price of Derivative Security (Instr. 5) Beneficially Owned Following		Ownership Form of Derivative	Beneficial Ownership (Instr. 4)	
			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(2)</u>	5/25/2016	М			8620 <u>(3)</u>	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	5000.0	\$0 (2)	0 (3)	D	

Explanation of Responses:

- (1) The shares of Common Stock were acquired by the reporting person as a result of accelerated vesting on May 25, 2016 of 5,000 Restricted Stock Units upon the reporting person's termination of service on the Company's Board of Directors.
- (2) Restricted Stock Units convert into Class A Common Stock on a one-to-one basis.
- (3) Represents 5,000 Restricted Stock Units which vested on May 25, 2016 on an accelerated basis and 3,620 Restricted Stock Units granted on May 18, 2016 which were forfeited upon the reporting person's termination of service on the Company's Board of Directors.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Wante / Address	Director	10% Owner	Officer	Other			
Eads John 12140 WICKCHESTER LANE, SUITE 100	X						
HOUSTON, TX 77079							

Signatures

/s/ John Eads, by Gil Melman as Attorney-in-Fact	5/27/2016		
** Signature of Reporting Person	Date		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.