

# SPARK ENERGY, INC. Reported by JONES JAMES G II

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 03/27/19 for the Period Ending 03/25/19

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol					5. Relationship of Reporting Person(s) to Issuer					
Jones James G II	Spark	Energy,	Inc.	[ SPKI	Ε]		Check all app	nicable)			
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)					<i>Y</i> )	X _ Director10% Owner				
			2 /2	<i>E 1</i> 2010			Officer (giv	e title below	')O	ther (specify	below)
12140 WICKCHESTER LANE SUITE 100			3/2	5/2019							
(Street)	4. If An	nendment, I	Date C	Original Fi	led (MM/I	DD/YYYY)	5. Individual o	or Joint/G	roup Filing (	Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)							X Form filed by		rting Person One Reporting P	erson	
Table I - Non-	Derivati	ive Securiti	ies Ac	quired, E	Disposed (	of, or Bene	ficially Owne	ed			
1.Title of Security (Instr. 3)	Date 2A. D Execu Date,	ution (Inst	rans. Co tr. 8)	or Di	sposed of (Ē : 3, 4 and 5) (A) o	Foll (Ins	mount of Securiti owing Reported T tr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)
Table II - Derivative Securit	ies Bene	ficially Ow	ned (	<i>e.g.</i> , put	s, calls, w	arrants, o <sub>l</sub>	ptions, conve	rtible sec	urities)		
le of Derivate rity Conversion or Exercise Price of Derivative Security  3. Trans. Date  3A. Deemed Execution Date, if any  3A. Deemed (Instr. 8) Code (Instr. 8) Securities Acquire (A) or Disposed of (D) (Instr. 3, 4 and 5)		quired ed of	6. Date Exercisable and 7. Title and A Securities Un Derivative S (Instr. 3 and			nderlying Derivative ecurity Security		derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	Beneficial	
C	ode V			Date Exercisable	Expiration Date Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock (1) 3/25/2019	4	8800		<u>(2)</u>	<u>(2)</u>	Class A Common Stock	8800.0	\$0	15326 (3)	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule as the underlying RSU.
- (2) These RSUs will vest in full on May 18 following the grant year following the grant year.
- (3) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Jones James G II							
12140 WICKCHESTER LANE SUITE 100	X						
HOUSTON, TX 77079							

#### **Signatures**

/s/ James G. Jones II, by C. Alexis Keene as Attorney-in-Fact

3/27/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.