

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 03/04/16 for the Period Ending 03/03/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name <b>and</b> Ticker or Trading Symbol							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Maxwell W Keith III					Spark Energy, Inc. [ SPKE ]												
(Last) (First) (Middle)				Í	3. Date of Earliest Transaction (MM/DD/YYYY)							XDirectorX10% Owner Officer (give title below) Other (specify below)					
12140 WICKCHESTER LANE, SUITE 100,				ГΕ	3/3/2016							Officer (giv	ve title belov	v)0	ther (speerly	below)	
(Street)				4	4. If Amendment, Date Original Filed (MM/DD/YYYY)						Y) 6. Individual o	6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)													Form filed by One Reporting Person X Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3)  2. Trans. Date			s. Date	2A. Deemed Execution Date, if any  3. Trans. Cod (Instr. 8)		de	Disposed of (D)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			7. Nature of Indirect Beneficial			
						Code	V	Amount	(A) or (D)	]	Price					Ownership (Instr. 4)	
Class A Common Stock 3/3/2016			016			s (1)		3000	D	\$25.	0063	(2)	591591		I	See footnote	
Class A Common Stock 3/4/2016				016			s (1)		18713	D	\$25.	1044	572878		I	See footnote	
Class A Common S	tock													92850		D	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																	
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	Date I	3A. Deemed Execution Date, if any	4. Tra (Instr.	Acqu Disp				6. Date Exercisable and Expiration Date			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Cod	le V	(A)	(D)		Date Exercisable	Expira Date	tion	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

#### **Explanation of Responses:**

- (1) The sale of Class A Common Stock reported herein by Retailco, LLC ("Retailco") may be matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended, with the purchase by W. Keith Maxwell III, the indirect owner of Retailco, of shares of Class A Common Stock which occurred in the past six months. Prior to this sale, W. Keith Maxwell III has agreed to pay Spark Energy, Inc. the full amount of the profit realized in connection with the short-swing transaction as soon as practicable after information is available for the highest sales price and lowest purchase price during the six month period in which this transaction occurs.
- (2) The price reported in column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$25.00 to \$25.03, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (3) Held directly by Retailco. Retailco is a wholly owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell.
- (4) The price reported in column 4, is a weighted average price. These shares were purchased in multiple transactions at prices ranging from \$25.02 to \$25.31, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Maxwell W Keith III						
12140 WICKCHESTER LANE, SUITE 100	X	X				
HOUSTON, TX 77079						

TxEx Energy Investments, LLC 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079	X	
Retailco, LLC 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079	X	

#### **Signatures**

/s/ W. Keith Maxwell III, by Gil Melman, Attorney-in-fact	3/4/2016	
**Signature of Reporting Person	Date	
/s/ Retailco, LLC, by Gil Melman, Attorney-in-fact	3/4/2016	
**Signature of Reporting Person	Date	
/s/ TxEx Energy Investments, LLC, by Gil Melman, Attorney-in-fact	3/4/2016	
** Signature of Reporting Person	Date	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.