

SPARK ENERGY, INC. Reported by KROEKER NATHAN

FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

Filed 08/09/17 for the Period Ending 05/18/16

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol						bol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kroeker Nat	han			S	park	Energ	gy, Inc	.[\$	SPKE	[]						
(Last) (First) (Middle)					3. Date of Earliest Transaction (MM/DD/YYYY)							X Director 10% Owner				
12140 WICKCHESTER LANE SUITE												Officer (give title below) Other (specify below) President & CEO				
	(Stre	et)		4.	. If Ar	nendmer	nt, Date	Orig	inal Fi	led (MM/	DD/YYYY)	6. Individual	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)					5/20/2016							_ X _ Form filed by One Reporting Person Form filed by More than One Reporting Person				
		,	Гable I - N	on-De	erivat	ive Secu	rities A	cqui	ired, D	isposed	of, or Bei	neficially Own	ed			
1.Title of Security (Instr. 3) 2. Trans. Da				2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		e 4. Securities Acquir Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Secur Following Reported (Instr. 3 and 4)			Ownership or Form:	7. Nature of Indirect Beneficial Ownership	
							Code	v	Amount	(A) or (D)	Price				or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common S	tock (1)		5/18/2	016			M (2)		4073	A	\$0		35395		D	
Class A Common Stock 5/18/2016				2016	F		F		1116 (3)	D S	529.915 (4)	34279		D		
	Tabl	le II - Deri	vative Sec	ırities	Bene	ficially	Owned ((e.g	. , puts	, calls, v	varrants,	options, conve	rtible sec	eurities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	Date E	3A. Deemed Execution Date, if any	4. Tran Code (Instr. 8		Derivative Acquired Disposed	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date			Underlying Security		9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v V	(A)	(D)	Dat Exe	te ercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(2)</u>	5/18/2016		M			4073		<u>(2)</u>	<u>(2)</u>	Class A Commo Stock		\$0	40555	D	
Restricted Stock Unit	<u>(5)</u>	5/18/2016		A		25000			<u>(6)</u>	<u>(6)</u>	Class A Commo Stock	7 m	\$0	65555 (1)	D	

Explanation of Responses:

- (1) On the Form 4 filed on May 18, 2016, the holdings in Table I were inadvertently misstated as 31,324 shares of Class A Common Stock, par value \$0.01 per share (Class A Common Stock"). In Table II the total number of Restricted Stock Units ("RSU") was inadvertently overstated by 4,073 RSUs.
- (2) The shares of Class A Common Stock reported represent shares issued as a result of vesting of 4,073 RSUs on May 18, 2016.
- (3) Payment for tax liability through the withholding of shares of Class A Common Stock vesting on May 18, 2016 in an amount equal to the requisite withholding obligation.
- (4) Vesting price is based on the closing price of Class A Common Stock on May 18, 2016, pursuant to the Spark Energy, Inc. Long Term Incentive Plan.
- (5) Each RSU represents a right to receive, upon vesting, one share of Class A Common Stock of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in footnote 5.
- (6) The RSUs will vest ratably over four years commencing May 18, 2017.

Reporting Owners

Reporting Owner Name / Address		tionships		
Reporting Owner Name / Address	Director	10% Owner	Officer	Other
Kroeker Nathan 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079	X		President & CEO	

/s/	Nathan	Kroeker.	by Gil	Melman	as Attorne	v-in-	-Fact

3/9/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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