

# SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

### FORM 4/A

(Amended Statement of Changes in Beneficial Ownership)

## Filed 04/14/15 for the Period Ending 04/06/15

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *													5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hartwick Ko	enneth M	ichael		SI	oark	Ener	gy, Inc	. [ SI	PKI	C ]						00/ 0	
(Last) (First) (Middle)				3.	3. Date of Earliest Transaction (MM/DD/YYYY)								X Director 10% Owner Officer (give title below) Other (specify below)				
2105 CITYV	VEST BL	VD., SU	ITE 100	)			4/	6/20	15								
	(Stre				If An	nendme	nt, Date	Origin	nal Fi	led (MM/I	OD/YYYY	7) 6	. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON, TX 77042  (City) (State) (Zip)					4/7/2015							-	X Form filed by One Reporting Person Form filed by More than One Reporting Person				
			Table I - I	Non-De	rivati	ive Secu	urities A	cquire	ed, D	isposed	of, or B	enef	icially Owne	ed			
1.Title of Security (Instr. 3) 2. Trans. I			rans. Date	Execu	eemed ition if any	(Instr. 8)		ode 4. Securities Acquiring or Disposed of (E) (Instr. 3, 4 and 5)		D) Foll		Amount of Securities Beneficial llowing Reported Transaction(s str. 3 and 4)			6. Ownership Form:	Beneficial	
							Code	v	Amo	(A) c							Ownership (Instr. 4)
	Tabl	le II - Deri	ivative Sec	urities	Bene	ficially	Owned (	( e.g. ,	, put	s, calls, v	varrant	s, op	tions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	4. Trans. (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		erlying urity		9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	Beneficial	
				Code	V	(A)	(D)	Date Exerci	isable	Expiration Date	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	4/6/2015		A		5400		C	<u>2)</u>	<u>(2)</u>	Class Comn Stock	non	5400.0 (1)	\$0	9311	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share, of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) The RSUs will vest in full on May 18, 2016.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Hartwick Kenneth Michael 2105 CITYWEST BLVD., SUITE 100	X						
HOUSTON, TX 77042							

#### **Signatures**

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.