

## SPARK ENERGY, INC.

# Reported by WALL ALLISON

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 08/05/14 for the Period Ending 08/01/14

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	Issuer Name and Ticker or Trading Symbol							bol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Wall Allison				Sı	parl	k Ener	gy, In	ıc.	[ SP	KE	]							
(Last) 2105 CITYV	(First	,	iddle) JITE 10		3. Date of Earliest Transaction (MM/DD/YYYY) <b>8/1/2014</b>						S	Director 10% Owner X Officer (give title below) Other (specify below) See Remarks				fy below)		
	(Stre				If Aı	mendme	nt, Dat	e Oı	rigina	al Fil	ed (MM/I	OD/YYYY	7) 6	. Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON,	<b>TX 7704</b> ity) (Sta		p)											X Form filed by	y One Repo More than (	rting Person One Reporting F	Person	
			Table I -	Non-De	rivat	tive Secu	ırities	Acq	quire	d, D	isposed	of, or B	enef	ficially Owne	ed			
1. Title of Security (Instr. 3)		2.	Trans. Date	te 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		(	4. Securities Acquired (A or Disposed of (D) (Instr. 3, 4 and 5)		Ď) (Ó	Follo	5. Amount of Securities Benefic Following Reported Transaction (Instr. 3 and 4)			Ownership of Form: Bo Direct (D)	Beneficial Ownership	
					Code	;	v .	Amou	(A) count (D)		rice				or Indirect (Instr. 4) (I) (Instr. 4)			
	Tabl	le II - Deri	ivative Se	ecurities	Bene	eficially	Owne	d ( e	e.g. ,	puts	, calls, v	varrant	s, op	otions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deeme Execution Date, if any	ed 4. Trans. Code (Instr. 8)	Derivative S		e Securiti (A) or of (D)		6. Date Exercisable Expiration Date			7. Title a Securitie Derivativ (Instr. 3 a	s Und ve Sec	lerlying curity		9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	Beneficial
	Security			Code	V	(A)	(D	E	Date Exercis		Expiration Date	Title		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	8/1/2014		A		25000			(2)	1	<u>(2)</u>	Class Comn Stock	non	(1)	\$0.00	25000	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share, of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) The RSUs will vest ratably over four years commencing May 4, 2015.

#### Remarks:

Ms. Wall is Chief Operating Officer of Spark Energy, Inc.

#### **Reporting Owners**

reporting Owners							
Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director 10% Owner Officer		Officer	Other			
Wall Allison							
2105 CITYWEST BLVD., SUITE 100			See Remarks				
HOUSTON, TX 77042							

#### **Signatures**

/s/ Allison Wall, by Gil Melman as Attorney-in-Fact

\*\*Signature of Reporting Person

Bate

8/5/2014

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.