

# SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

## FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 12/03/14 for the Period Ending 12/03/14

Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE Fiscal Year 12/31

Powered By EDGAR Online

http://www.edgar-online.com

© Copyright 2020, EDGAR Online, a division of Donnelley Financial Solutions. All Rights Reserved. Distribution and use of this document restricted under EDGAR Online, a division of Donnelley Financial Solutions, Terms of Use.

FORM 4	
--------	--

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup>	6 5	5. Relationship of Reporting Person(s) to Issuer
		(Check all applicable)
Maxwell W Keith III	Spark Energy, Inc. [ SPKE ]	
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X Director $X$ 10% Owner
		Officer (give title below) Other (specify below)
2105 CITYWEST BOULEVARD,	12/3/2014	
SUITE 100		
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)
HOUSTON, TX 77042		Form filed by One Reporting Person X Form filed by More than One Reporting Person
(City) (State) (Zip)		_ A _ Form filed by More than One Reporting Person

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Class A Common Stock	12/3/2014		Р		1000	A	\$13.65	7000	<b>D</b> $\frac{(1)(2)}{(3)}$	
		Date, it any	Code	v	Amount	(A) or (D)	Price	(III)u. 5 and 7)		Ownership
1.Title of Security (Instr. 3)		2A. Deemed Execution Date, if any	(Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form:	7. Nature of Indirect Beneficial

#### Table II - Derivative Securities Beneficially Owned ( e.g. , puts, calls, warrants, options, convertible securities)

							-				-		-				
1. Title of Derivate	2.	3. Trans.	3A. Deemed	4. Trans. 0	Code	5. Number	of	6. Date Exer	cisable and	7. Tit	le and Amount of	8. Price of	9. Number of	10.	11. Nature		
Security				(Instr. 8)		Derivative Securities								Derivative		Ownership	
(Instr. 3)	or Exercise		Date, if any			Acquired (	A) or			Deriv	ative Security	Security	Securities	Form of	Beneficial		
	Price of					Disposed o	of (D)			(Instr	: 3 and 4)	(Instr. 5)	Beneficially	Derivative	Ownership		
	Derivative					(Instr. 3, 4	and 5)						Owned	Security:	(Instr. 4)		
	Security												Following	Direct (D)			
								Date	Expiration		Amount or Number of		Reported	or Indirect			
								Exercisable	Date	Title	Amount or Number of Shares		Transaction(s)	(I) (Instr.			
				Code	V	(A)	(D)						(Instr. 4)	4)			

#### **Explanation of Responses:**

- (1) This Form 4 is filed jointly by W. Keith Maxwell III, NuDevco Partners, LLC ("NuDevco Partners"), NuDevco Partners Holdings, LLC ("NuDevco Holdings"), NuDevco Retail, LLC ("NuDevco Retail") and NuDevco Retail Holdings, LLC ("NuDevco Retail Holdings"). Mr. Maxwell is the sole member of NuDevco Partners. NuDevco Partners is the sole member of NuDevco Holdings. NuDevco Holdings is the sole member of NuDevco Retail Holdings. NuDevco Retail Holdings is the sole member of NuDevco Retail Holdings. NuDevco Retail Holdings is the sole member of NuDevco Retail. (Continued in footnote 2).
- (2) Accordingly, NuDevco Partners, NuDevco Holdings, NuDevco Retail Holdings and NuDevco Retail are direct and indirect wholly owned subsidiaries of Mr. Maxwell, and Mr. Maxwell, NuDevco Partners, NuDevco Holdings, NuDevco Retail Holdings and NuDevco Retail may be deemed to indirectly own the securities of the Issuer directly held by one or more of such other reporting persons, but each disclaims beneficial ownership except to the extent of his or its pecuniary interest therein.
- (3) Mr. Maxwell purchased the securities reported above directly.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Maxwell W Keith III							
2105 CITYWEST BOULEVARD, SUITE 100	Х	Х					
HOUSTON, TX 77042							
NuDevco Partners, LLC							
2105 CITYWEST BOULEVARD, SUITE 100		Х					
HOUSTON, TX 77042							
NuDevco Partners Holdings, LLC							
2105 CITYWEST BOULEVARD, SUITE 100		Х					
HOUSTON, TX 77042							
NuDevco Retail Holdings,LLC							
2105 CITYWEST BOULEVARD, SUITE 100		Х					
HOUSTON, TX 77042							

#### Signatures

/s/ W. Keith Maxwell III, /s/ NuDevco Partners, LLC /s/ NuDevco Partners Holdings, LLC, /s/ NuDevco Retail Holdings, LLC, /s/ NuDevco Retail, LLC, by Gil Melman, Attorney-in-fact

Х

### \*\* Signature of Reporting Person

12/3/2014 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.