

SPARK ENERGY, INC.

Reported by **MELMAN GIL**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 03/19/18 for the Period Ending 03/16/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | 2. I | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|---|--------------|--|------------|--|---|---------------------------|---|--------|--|---|---|--|--|--|---|
| | | | | | Spark Energy, Inc. [SPKE] | | | | | | | Director | | 10 | % Owner | |
| (Last) | (First |) (Mic | idle) | 3. I | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | X Officer (g | ive title belo | | Other (speci | fy below) | | |
| 12140 WICF 100 | KCHEST | ER LAN | E SUIT | ГЕ | | | 3/1 | 16/20 |)18 | | | Vice Presiden | it Genera | l Counsel | | |
| | (Stre | et) | | 4. I | f An | nendmei | nt, Date (| Origin | nal Fi | led (MM/I | DD/YYYY) | 6. Individual o | or Joint/G | roup Filing | (Check Appl | icable Line) |
| HOUSTON, | TX 7707 ity) (Sta | |) | | | | | | | | | X Form filed by | y One Repo More than (| rting Person One Reporting F | 'erson | |
| | | • | Гable I - | Non-Der | ivati | ive Secu | ırities A | cquir | ed, D | oisposed (| of, or Be | neficially Owne | ed | | | |
| 1.Title of Security (Instr. 3) | | | 2. 1 | | Execu | | 3. Trans. C (Instr. 8) | v | or Dis | sposed of (I : 3, 4 and 5) (A) o | F (1 | . Amount of Securiti following Reported T Instr. 3 and 4) | | | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | Tab | le II - Deri | vative Se | curities I | Bene | ficially | Owned (| (e.g. , | , puts | s, calls, w | varrants, | options, conve | rtible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | Date E | 3A. Deeme Execution Date, if any | Code | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | | 9. Number of derivative Securities Beneficially Owned Following | Form of Derivative Security: Direct (D) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | Code | v | (A) | (D) | Date Exerc | isable | Expiration Date | Title | Amount or Number of Shares | | Reported Transaction(s) (Instr. 4) | or Indirect (I) (Instr. 4) | |
| Restricted Stock Unit | (1)(2) | 3/16/2018 | | A | | 1514 | 3) | 1 | (2) | (2) | Class A Commo Stock | | \$9.05 | 77144 ⁽⁴⁾ | D | |

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (3) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|----------------------------------|---------------|-----------|--------------------------------|-------|--|--|--|
| Direct | | 10% Owner | Officer | Other | | | |
| Melman Gil | | | | | | | |
| 12140 WICKCHESTER LANE SUITE 100 | | | Vice President General Counsel | | | | |
| HOUSTON, TX 77079 | | | | | | | |

Signatures

| /s/ Gil Melman | 3/19/2018 | | |
|----------------------------------|-----------|--|--|
| ** Signature of Reporting Person | Date | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.