

# SPARK ENERGY, INC. Reported by KROEKER NATHAN

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 03/19/18 for the Period Ending 03/16/18

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2.	2. Issuer Name and Ticker or Trading Symbol						ool	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kroeker Nathan					Spark Energy, Inc. [ SPKE ]							X Director		1	0% Owner	
(Last)	(First)	(Mic	idle)	3.	3. Date of Earliest Transaction (MM/DD/YYYY)					X_Officer (g	ive title belo		Other (speci	fy below)		
12140 WICKCHESTER LANE SUITE 100					3/16/2018							President & (	CEO			
	(Stre	et)		4.	If An	nendmer	nt, Date	Origin	al Fil	ed (MM/E	DD/YYYY)	6. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)												X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		7	Γable I -	- Non-De	rivat	ive Secu	rities A	cquire	ed, Di	sposed o	of, or Bene	eficially Owne	ed			
1. Title of Security (Instr. 3)			Trans. Date	Date 2A. Deemed Execution Date, if any  Code  23. Trans. Code			or Disposed of (D) (Instr. 3, 4 and 5) (In		Amount of Securities Beneficially Owned ollowing Reported Transaction(s) nstr. 3 and 4)				7. Nature of Indirect Beneficial Ownership (Instr. 4)			
	Tabl	e II - Deriv	vative So	ecurities	Bene	ficially	Owned (	( e.g. ,	puts.	, calls, w	arrants, o	ptions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)		Date	3A. Deem Execution Date, if an		Derivativ		e Acquired sposed of		. Date Exercisable and expiration Date		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative	derivative Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exerci	isable	Expiration Date	Title	Amount or Number of Shares		Transaction(s)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)(2)	3/16/2018		A		3239	3)	(2	2)	(2)	Class A Common Stock	3239.0	\$9.05	164987 (4)	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (3) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Kroeker Nathan						
12140 WICKCHESTER LANE SUITE 100	X		President & CEO			
HOUSTON, TX 77079						

#### **Signatures**

/s/ Nathan Kroeker, by Gil Melman as Attorney-in-Fact	3/19/2018
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.