

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person * | | | | | 2. | 2. Issuer Name and Ticker or Trading Symbol | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | | |
|---|---|--------------------------|-------|------------------------------|-----------------------------|---|-----|---|---------------|---|--|---|---|------------------------|---|---|---|--|
| Jones James G II | | | | Sı | Spark Energy, Inc. [SPKE] | | | | | | | | | | | | | |
| (Last) | (First | (First) (Middle) | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | Director10% Owner X Officer (give title below) Other (specify below) | | | | | |
| 12140 WICKCHESTER LANE SUITE 100 | | | | | 2 | 11/27/2020 | | | | | | Chief Financi | | · — | ner (specify i | below) | | |
| | (Street) | | | | 4. | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | |
| HOUSTON, TX 77079 (City) (State) (Zip) | | | | | | | | | | | _X _ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | | |
| | | | Tabl | | | | | | | | • | | | neficially Owne | | | | |
| 1.Title of Security (Instr. 3) 2. Trans. Date of Security (Instr. 3) | | | | 2A. De Execut Date, if | ion | 3. Trans. Code (Instr. 8) | | 4. Securities Acquired Disposed of (D) (Instr. 3, 4 and 5) | | ired (A) | | 5. Amount of Securi Following Reported (Instr. 3 and 4) | rities Beneficially Owned I Transaction(s) | | 6. 7. Nature Ownership of Indirect Form: Beneficial | | | |
| | | | | | | Code | V | Amount | (A) or (D) | Price | e | | | | | Ownership (Instr. 4) | | |
| Class A Common Stock 11/27/2020 | | | 2020 | | | S | | 7000 | D | \$10.065 | 5 (1) | 47890 | | | D | | | |
| | | | | | | | | | ` ' | | | _ | | options, conver | | | | |
| Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | sion Date Executive Date | Execu | | | Ac Di | | Number of derivative Securities equired (A) or disposed of (D) distr. 3, 4 and 5) | | 6. Date Exercisable and Expiration Date | | 7. Title and An Securities Und Derivative Sec (Instr. 3 and 4 | | Underlying Security | | derivative Securities Beneficially Owned | Ownership Form of Derivative Security: | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | V | (A) | (D) | Da Ex | te ercisable | Expiration Date | Title | Am Sha | ount or Number of | | Following Reported Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | |

Explanation of Responses:

(1) The price reported in column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$10.00 to \$10.092, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|----------------------------------|---------------|-----------|-------------------------|-------|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | |
| Jones James G II | | | | | | |
| 12140 WICKCHESTER LANE SUITE 100 | | | Chief Financial Officer | | | |
| HOUSTON, TX 77079 | | | | | | |

| Si | gn | a | tu | ır | es |
|----|----|---|----|----|----|
| | | | | | |

/s/ James G. Jones II, by Dominique R. Colvard, Attorney-in-Fact

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.