

SPARK ENERGY, INC. Reported by WALL ALLISON

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 09/15/15 for the Period Ending 09/14/15

Address **12140 WICKCHESTER LANE SUITE 100** HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 SPKE Symbol SIC Code 4931 - Electric and Other Services Combined **Electric Utilities** Industry Utilities Sector

Fiscal Year 12/31

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| FORM 4 | |
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[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person [*] | 2. Issuer Name and Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer |
|--|---|---|
| | | (Check all applicable) |
| Wall Allison | Spark Energy, Inc. [SPKE] | |
| (Last) (First) (Middle) | 3. Date of Earliest Transaction (MM/DD/YYYY) | Director 10% Owner |
| | | X Officer (give title below) Other (specify below) |
| 2105 CITYWEST BLVD., SUITE 100 | 9/14/2015 | Chief Operating Officer |
| | | |
| (Street) | 4. If Amendment, Date Original Filed (MM/DD/YYYY) | 6. Individual or Joint/Group Filing (Check Applicable Line) |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of Security | 2. Trans. Date | 2A. Deemed | 3. Trans. Co | de | 4. Securit | ies Acquir | ed (A) | 5. Amount of Securities Beneficially Owned | 6. | 7. Nature |
|---------------------|----------------|--------------|--------------|----|-----------------------|------------|--------|--|-------------|-------------|
| (Instr. 3) | | Execution | (Instr. 8) | | or Disposed of (I | | | Following Reported Transaction(s) | Ownership | of Indirect |
| | | Date, if any | | | (Instr. 3, 4 and 5) (| | | (Instr. 3 and 4) | Form: | Beneficial |
| | | | | | | | | | Direct (D) | Ownership |
| | | | | | | | | | or Indirect | (Instr. 4) |
| | | | | | | (A) or | | | (I) (Instr. | |
| | | | Code | V | Amount | (D) | Price | | 4) | |

Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)

| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Trans. Date | 4. Trans. Code (Instr. 8) | | Derivative Expiration Date Securities Acquired | | xpiration Date Securities Underlying Derivative Security S | | Derivative Security (Instr. 5) | Securities Beneficially Owned Following | Ownership Form of Derivative | Beneficial Ownership (Instr. 4) | | |
|--|---|-------------------|-------------------------------------|---|--|-----|--|--------------------|--------------------------------------|--|------------------------------------|---------------------------------------|----------------------------------|--|
| | | | Code | v | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | Transaction(s) | or Indirect (I) (Instr. 4) | |
| Restricted Stock Unit | <u>(1)(2)</u> | 9/14/2015 | А | | 709 <u>(3)</u> | | <u>(2)</u> | <u>(2)</u> | Class A Common Stock | 709.0 | \$15.50 | 31015 <u>(4)</u> | D | |

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent payment relates.
- (3) These RSUs accrued on outstanding RSUs held by reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (4) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|-------------------------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Wall Allison 2105 CITYWEST BLVD., SUITE 100 HOUSTON, TX 77042 | | | Chief Operating Officer | | | | |

Signatures

| /s/ Allison Wall, by Gil Melman as Attorney-in-Fact | 9/15/2015 |
|---|-----------|
| | |

Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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