

SPARK ENERGY, INC. Reported by MAXWELL W KEITH III

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 09/19/17 for the Period Ending 09/14/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *						2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)							
Maxwell W Keith III						Spark Energy, Inc. [SPKE]									,	•	100/ 0		
(Last)	(First) (M	(Middle)			3. Date of Earliest Transaction (MM/DD/YYYY)							Y)	X Director X 10% Owner Officer (give title below) Other (specify below)					
12140 WICKCHESTER LANE, SUITE 100,						9/14/2017										,	(,	
(Street)					4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							DD/YYY	6. Individual or Joint/Group Filing (Check Applicable Line)					
HOUSTON, TX 77079 (City) (State) (Zip)														Form filed by One Reporting Person X Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1.Title of Security (Instr. 3)				te 2A. Deemed Execution Date, if any		3. Trans. Code (Instr. 8)		4. Securities Disposed of (Instr. 3, 4 a		of (D)		F	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)			Ownership Form:	Beneficial		
								Code	V	· A	Amount	(A) or (D)	Price	,					Ownership (Instr. 4)
Class A Common Stock 9/14/20				17			P (1)		1	11400	A	\$16.37	<u>(2)</u>	8	807699				
Class A Common Stock														715382			I (3)	See footnotes	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date		tion (Trans	Acqui Dispos				6. Date Exercisable and Expiration Date			7. Title and A Securities Un Derivative Se (Instr. 3 and 4		Jnderlying Security	8. Price of Derivative Security (Instr. 5)	derivative Securities Beneficially Owned	Ownership Form of Derivative Security:	Beneficial
	Security				Code	V	(A)	(D)	I	Date Exerc	cisable	Expiration Date		Amor	ount or Number of es	1	Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	

Explanation of Responses:

- (1) The purchase of Class A Common Stock reported herein by the reporting person may be matchable under Section 16(b) of the Securities and Exchange Act of 1934, as amended, with the sale of shares of Class A Common Stock which occurred in the past six months. W. Keith Maxwell has agreed to pay Spark Energy, Inc. the full amount of the profit realized in connection with the short-swing transaction as soon as practicable after information is available for the highest sales price and lowest purchase price during the six month period in which this transaction occurs.
- (2) The price reported in column 4 is a weighted-average price. These shares were purchased in multiple transactions at prices ranging from \$16.15 to \$16.60, inclusive. The reporting person undertakes to provide to Spark Energy, Inc., any security holder of Spark Energy, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares purchased at each separate price within the ranges set forth in this footnote.
- (3) Held directly by Retailco, LLC ("Retailco"). Retailco is a wholly-owned subsidiary of TxEx Energy Investments, LLC, which is wholly owned by W. Keith Maxwell.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Ivame / Address	Director	10% Owner	Officer	Other			
Maxwell W Keith III							
12140 WICKCHESTER LANE, SUITE 100	X	X					
HOUSTON, TX 77079							
Retailco, LLC							
12140 WICKCHESTER LANE		X					
SUITE 100		Λ					
HOUSTON, TX 77079							
TxEx Energy Investments, LLC							
12140 WICKCHESTER LANE		X					
SUITE 100		Λ					

Signatures	
/s/ W. Keith Maxwell III, by Gil Melman, Attorney-in-fact	9/18/2017
** Signature of Reporting Person	Date
/s/ Retailco, LLC, by Gil Melman, Attorney-in-fact	9/18/2017
**Signature of Reporting Person	Date
/s/ TxEx Energy Investments, LLC, by Gil Melman, Attorney-in-fact	9/18/2017
**Signature of Reporting Person	Date

HOUSTON, TX 77079

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.