

SPARK ENERGY, INC. Reported by LANE ROBERT LAWRENCE

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 09/18/17 for the Period Ending 09/14/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *				2. I	2. Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
LANE ROBERT LAWRENCE					Spark Energy, Inc. [SPKE]										Director 10% Owner				
(Last)	(First)) (Mic	ldle)	3. I	Date	of Earli	est Tra	ansa	action	ı (MM	DD/Y	YYY)		X Officer (g	ive title belo		Other (speci	fy below)
12140 WICKCHESTER LANE, SUITE 100				ΓE	9/14/2017									١	Vice President and CFO				
	(Stre	et)		4. I	f An	nendmei	nt, Da	ite C	rigin	al Fil	ed (M	IM/D	D/YYYY	7) 6	. Individual c	or Joint/G	roup Filing (Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)													-	_X _ Form filed by One Reporting Person Form filed by More than One Reporting Person					
		7	Гable I - N	Non-Der	ivati	ve Secu	ırities	s Ac	quire	ed, Di	spos	ed o	f, or B	enef	ficially Owne	ed			
1.Title of Security (Instr. 3)			2. Tı		2A. D Execu Date,	ition	on (Instr. 8) or Disposed of (D) Following Reported Transaction(s) Ownership of Indirect (Instr. 3, 4 and 5) (Instr. 3 and 4) Ownership of University Ownership of Indirect (D) Ownership of Indirect (D) Ownership of Indirect (D) Ownership of Indirect (D) Ownership Ow												
							Cod	de	V	Amou		(A) or (D)	Price					or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common St	tock														52	296 (1)		D	
	Tabl	le II - Deriv	vative Sec	urities E	Bene	ficially	Owne	ed (e.g. ,	puts	call	s, w	arrant	s, op	otions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Date	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)		5. Number Derivative Securities (A) or Dis (D) (Instr. 3, 4	e s Acquir sposed o	red of		e Exerc ation Da			7. Title a Securitie Derivativ (Instr. 3	s Und	derlying curity	Derivative Security	Securities Beneficially Owned Following	Ownership Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	((D)	Date Exerci	isable	Expira Date	ition	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(2)(3)	9/14/2017		A		515 (4)		C	<u>3)</u>	<u>(3</u>	0	Class Comn Stock	ıon	515.0	\$16.25	46579 (1)(5)	D	

Explanation of Responses:

- (1) This amount reflects the effect of a two-for-one stock split of the Company's Common Stock for all shares of record on June 5, 2017.
- (2) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 3.
- (3) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (4) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (5) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
LANE ROBERT LAWRENCE								
12140 WICKCHESTER LANE			Vice President and CFO					
SUITE 100			vice i resident and Cro					
HOUSTON, TX 77079								

Signatures

By Gil Melman, attorney-in-fact for Robert Lane

9/18/2017

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.