

SPARK ENERGY, INC.

Reported by **EVANS NICK W JR**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 09/18/17 for the Period Ending 09/14/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
Evans Nick W Jr				Sp	Spark Energy, Inc. [SPKE]														
(Last) (First) (Middle)				3. E	3. Date of Earliest Transaction (MM/DD/YYYY)							F	X _ Director 10% Owner						
														-	Officer (give title below) Other (specify below)				
12140 WICK 100	CHEST	ER LAN	E, SU	ITE				9/	14/20	017									
	(Stre	eet)			4. I	f An	nendme	nt, Date	Origi	nal Fi	led (MM	/DD/Y	YYYY	6.	Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
HOUSTON,														_;	X _ Form filed by		rting Person One Reporting P	erson	
(C	ity) (Sta	ite) (Zip	p)												_ 1 01111 11104 0)	THOIR LIMIT C	ne reporting r		
		,	Table I	- Non-	-Deri	ivati	ive Secu	ırities A	cquir	ed, D	isposed	l of,	or Bo	enefi	icially Own	ed			
1. Title of Security (Instr. 3) 2. Trans.				1	Execu		3. Trans. Code (Instr. 8)		4. Securities Acq or Disposed of (I (Instr. 3, 4 and 5)		(D)	D) Followin		amount of Securities Beneficially Owned lowing Reported Transaction(s) tr. 3 and 4)			Ownership of Form:	7. Nature of Indirect Beneficial	
								Code	V	Amo	unt (A)		Price					Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock														20	684 <u>(1)</u>		I	By Spouse	
Class A Common Stock													24446 (1)				D		
	Tab	le II - Deri	vative S	Securit	ties B	Bene	ficially	Owned	(e.g.	, puts	s, calls,	war	rants	s, op	tions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deen Execution Date, if an	n Co		Derivati Securitie (A) or D (D)				Date Exercisable and Expiration Date		Sec	7. Title and Securities U Derivative S (Instr. 3 and		erlying	Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				C	Code	V	(A)	(D)	Date Exerc	eisable	Expiration Date	n Tit	tle		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(2)(3)	9/14/2017			A		168 (4	<u> </u>		(3)	<u>(3)</u>		Class Comm Stock	on	168.0	\$16.25	15240 (1)(5)	D	

Explanation of Responses:

- (1) This amount reflects the effect of a two-for-one stock split of the Company's Common Stock for all shares of record on June 5, 2017.
- (2) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 3.
- (3) Each of these RSUs vests and pays out upon vesting and payout of the underlying award of RSUs to which the dividend equivalent relates.
- (4) These RSUs accrued on outstanding RSUs held by the reporting person as a result of a dividend equivalent payment made to the holder when the Company paid its most recent quarterly dividend on the Class A Common Stock.
- (5) Balance includes original grants of RSUs and dividend equivalents issued in additional RSUs.

Reporting Owners

Panorting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	ips Officer	Other				
Evans Nick W Jr 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079								

Signatures

/s/ Nick W. Evans Jr., by Gil Melman, Attorney-in-fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.