

# SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 04/07/15 for the Period Ending 04/06/15

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *	2. 1	2. Issuer Name <b>and</b> Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Hartwick Kenneth Michael			inergy,		-				Ì	X Director	,		100/ Onum on	
(Last) (First) (Middle)	3. ]	3. Date of Earliest Transaction (MM/DD/YYYY)						X _ Director10% OwnerOfficer (give title below) Other (specify below)						
2105 CITYWEST BLVD., SUITE 1	100			4/6	<b>6/201</b>	5								
(Street)	4. ]	If Amen	ndment, I	Date C	Origina	l File	d (MM/I	DD/YYYY	6.	Individual o	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON, TX 77042 (City) (State) (Zip)									_ >	X _ Form filed by _ Form filed by	oy One Repo More than (	orting Person One Reporting F	erson	
Table J	- Non-Dei	rivative	Securiti	ies Ac	quired	l, Dis	posed	of, or B	enefi	cially Own	ed			
1.Title of Security (Instr. 3)		2A. Deen Execution Date, if an	n (Inst	3. Trans. Code (Instr. 8)		4. Securities Acquire or Disposed of (D) (Instr. 3, 4 and 5)		D) ` ` `	5. Amount of Securit Following Reported (Instr. 3 and 4)		ties Beneficially Owned Transaction(s)		Ownership Form:	Beneficial
				Code	V	Amount	(A) o	r Price						Ownership (Instr. 4)
Table II - Derivative	Securities 1	Benefic	ially Ow	ned (	<i>e.g.</i> , p	outs,	calls, w	arrant	s, opt	tions, conve	rtible sec	curities)		
1. Title of Derivate Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security  2. Conversion of Exercise Price of Derivative Security  3. Trans. Date Execution Date, if any		Der Sec (A)	rivative curities Acc ) or Dispose	s Acquired isposed of		ation Date		7. Title and Amou Securities Underly Derivative Securit (Instr. 3 and 4)		rlying		Securities Beneficially Owned Following	Form of Derivative Security: Direct (D)	Beneficial
	Code	v	(A)	(D)	Date Exercisa		xpiration ate	Title		Amount or Number of Shares		Reported Transaction(s) (Instr. 4)	or Indirect (I) (Instr. 4)	
Restricted Stock (1) 4/6/2015	A		5400		(2)		<u>(2)</u>	Class Comm Stock	on	5400.0 (1)	\$0	9214	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share, of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) The RSUs will vest in full on May 18, 2016.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Ivame / Address	Director	10% Owner	Officer	Other				
Hartwick Kenneth Michael								
2105 CITYWEST BLVD., SUITE 100 HOUSTON, TX 77042	X							

#### **Signatures**

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.