

# SPARK ENERGY, INC. Reported by KROEKER NATHAN

### FORM 4

(Statement of Changes in Beneficial Ownership)

## Filed 04/08/15 for the Period Ending 04/06/15

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					Issuer Name and Ticker or Trading Symbol									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Kroeker Nat	han			Sp	ark	Ener	gy, Ir	ıc.	[ SP	KE	]						00/ 0	
(Last) (First) (Middle) 2105 CITYWEST BLVD., SUITE 100					3. Date of Earliest Transaction (MM/DD/YYYY)  4/6/2015								P	X Director 10% Owner X Officer (give title below) Other (specify below) President & CEO				
2103 CITT V	(Stre		1112 10		lf Ar	nendmei			. – . –		ed (MM/l	DD/YYYY	7) 6	. Individual o	or Joint/G	roup Filing	Check Appl	icable Line)
HOUSTON,	<b>TX 7704</b> ity) (Sta		p)										-	X Form filed by		rting Person One Reporting P	erson	
			Table I -	Non-Der	ivat	ive Secu	ırities	Acc	quire	d, D	isposed	of, or B	enef	icially Own	ed			
1. Title of Security (Instr. 3) 2. Trans. D			Trans. Date	ate 2A. Deemed Execution Date, if any		3. Trans (Instr. 8)	(	4. Securities Acquired (or Disposed of (D) (Instr. 3, 4 and 5)			Follo	5. Amount of Securities Benef Following Reported Transactio Instr. 3 and 4)			Ownership Form: of Ir Bend Direct (D) Own	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
							Code	e	V .	Amou	(A) o						(I) (Instr. 4)	
	Tabl	le II - Deri	vative Se	curities l	Bene	eficially	Owne	d ( a	<b>e.g.</b> , ]	puts	, calls, v	varrant	s, op	otions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Trans. Date	3A. Deemed Execution Date, if any	Code	Derivative Se		e Securit (A) or of (D)	Securities Expired A) or f (D)		e Exercisable and ation Date		7. Title and A Securities Und Derivative Sec (Instr. 3 and 4		erlying urity	Derivative Security	9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	Beneficial
	Security			Code	V	(A)	(D)		Date Exercis		Expiration Date	Title		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	4/6/2015		A		15000			<u>(2)</u>	1	(2)	Class Comn Stock	non	15000.0 (1)	\$0	65858	D	

#### **Explanation of Responses:**

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share, of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) The RSUs will vest ratably over four years commencing May 18, 2016.

#### Remarks

Mr. Kroeker is President and Chief Executive Officer of Spark Energy, Inc. Mr. Kroeker also serves on the board of directors of Spark Energy, Inc.

**Reporting Owners** 

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Kroeker Nathan							
2105 CITYWEST BLVD., SUITE 100	X		President & CEO				
HOUSTON, TX 77042							

#### **Signatures**

/s/ Nathan Kroeker, by Gil Melman as Attorney-in-Fact

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.