

SPARK ENERGY, INC.

Reported by **MELMAN GIL**

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 08/05/14 for the Period Ending 08/01/14

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person * | | | | 2.] | Issuer Name and Ticker or Trading Symbol | | | | | | | bol | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
|--|---|-------------------|--|-------------|--|-----------|----------------------------------|------|-------------------------------|--|--------------------|---|---|---|--|---|--|---|
| Melman Gil | | | | Sp | ark | k Ener | gy, Ir | nc. | [SP | PKE | 2] | | | | | | | |
| (Last) (First) (Middle) 2105 CITYWEST BLVD., SUITE 100 | | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) 8/1/2014 | | | | | | | | 5 | Director 10% Owner X Officer (give title below) Other (specify below) See Remarks | | | | fy below) |
| | (Stre | | | | If Ar | nendmei | nt, Dat | te O | rigina | al Fil | led (MM/ | DD/YYYY | Y) 6 | 5. Individual c | or Joint/G | roup Filing | (Check Appl | icable Line) |
| HOUSTON, | TX 7704 ity) (Sta | | p) | | | | | | | | | | | X Form filed by | y One Repo More than (| rting Person One Reporting F | Person | |
| | | | Table I - | Non-Dei | ivat | ive Secu | ırities | Aco | quire | d, D | isposed | of, or B | ene | ficially Owne | ed | | | |
| 1.Title of Security (Instr. 3) | | | 2.7 | Trans. Date | 2A. Deemed Execution Date, if any | | 3. Trans. Co (Instr. 8) | | | 4. Securities Acqui or Disposed of (D) (Instr. 3, 4 and 5) | | Ď) ` ´ | 5. Amount of Securiti Following Reported T (Instr. 3 and 4) | | ies Beneficially Owned Transaction(s) | | Ownership of Form: Be Direct (D) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | Code | e | v | Amou | (A) (D) | | | | | | (I) (Instr. 4) | |
| | Tabl | le II - Deri | ivative Se | curities 1 | Bene | eficially | Owne | d (| e.g. , | puts | , calls, v | varrant | s, op | ptions, conve | rtible sec | urities) | | |
| 1. Title of Derivate Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative | 3. Trans. Date | 3A. Deeme Execution Date, if any | Code | Derivative | | e Securities (A) or of (D) | | 6. Date Exerc Expiration D | | | 7. Title a Securitie Derivativ (Instr. 3 a | s Und ve Sed | derlying curity | | 9. Number of derivative Securities Beneficially Owned | Form of Derivative Security: | Beneficial Ownership (Instr. 4) |
| | Security | | | Code | v | (A) | (D) | | Date Exercis | | Expiration Date | Title | | Amount or Number of Shares | | Following Reported Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | |
| Restricted Stock Unit | (1) | 8/1/2014 | | A | | 20000 | | | <u>(2</u> |) | <u>(2)</u> | Class Comn Stock | non | (1) | \$0.00 | 20000 | D | |

Explanation of Responses:

- (1) Each restricted stock unit ("RSU") represents a right to receive, upon vesting, one share of Class A Common Stock, par value \$0.01 per share, of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 2.
- (2) The RSUs will vest ratably over four years commencing May 4, 2015.

Remarks:

Mr. Melman is Vice President, General Counsel and Corporate Secretary of Spark Energy, Inc.

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|-------------|-------|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | |
| Melman Gil | | | | | | | |
| 2105 CITYWEST BLVD., SUITE 100 | | | See Remarks | | | | |
| HOUSTON, TX 77042 | | | | | | | |

Signatures

/s/ Gil Melman 8/5/2014

**Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

| Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. |
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