

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/05/15 for the Period Ending 05/04/15

Address 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX, 77079 Telephone (713) 600-2600 CIK 0001606268 Symbol SPKE Fiscal Year 12/31

Powered By EDGAR Online

http://www.edgar-online.com

© Copyright 2020, EDGAR Online, a division of Donnelley Financial Solutions. All Rights Reserved. Distribution and use of this document restricted under EDGAR Online, a division of Donnelley Financial Solutions, Terms of Use.

FORM 4	
--------	--

[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*]	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer				
		(Check all applicable)				
Hartwick Kenneth Michael	Spark Energy, Inc. [SPKE]					
(Last) (First) (Middle)	3. Date of Earliest Transaction (MM/DD/YYYY)	X Director 10% Owner				
(Eust) (First) (Finder)		Officer (give title below) Other (specify below)				
2105 CITYWEST BLVD., SUITE 100	5/4/2015					
(Street)	4. If Amendment, Date Original Filed (MM/DD/YYYY)	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77042 (City) (State) (Zip)		X Form filed by One Reporting Person Form filed by More than One Reporting Person				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	1	1		1					
2. Trans. Date	2A. Deemed	3. Trans. Code		4. Securities Acquired (A)		ed (A)	5. Amount of Securities Beneficially Owned	6.	7. Nature
	Execution	(Instr. 8)		or Disposed of (D)			Following Reported Transaction(s)	Ownership	of Indirect
	Date, if any			(Instr. 3 and 4)	Form:	Beneficial			
	-							Direct (D)	Ownership
								or Indirect	(Instr. 4)
					(A) or			(I) (Instr.	
		Code	V	Amount	(D)	Price		4)	
5/4/2015		М		3910	Α	<u>(1)</u>	3910	D	
		Date, if any	Execution Date, if any Code	Execution Date, if any Code V	Execution Date, if any (Instr. 8) or Dispos (Instr. 3, 4) Code V Amount	Execution Date, if any Code V Amount (D) (Instr. 3, 4 and 5)	Execution Date, if any Code V Amount (D) Price	Execution Date, if any (Instr. 8) or Disposed of (D) (Instr. 3, 4 and 5) Following Reported Transaction(s) (Instr. 3 and 4) Code V Amount (A) or (D) Price	Execution Date, if any (Instr. 8) or Disposed of (D) (Instr. 3, 4 and 5) Following Reported Transaction(s) Ownership Form: Direct (D) or Indirect Code V Amount (A) or (D) Price Following Reported Transaction(s) Ownership Form: Direct (D)

Table II - Derivative Securities Beneficially Owned (e.g. , puts, calls, warrants, options, convertible securities)

1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Trans. Date	3A. Deemed Execution Date, if any	(5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		1		Securities Underlying Derivative Security		Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Reported Transaction(s)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	5/4/2015		М			3910	<u>(2)</u>	<u>(2)</u>	Class A Common Stock	3910.0	<u>(1)</u>	5400	D	

Explanation of Responses:

(1) Restricted Stock Units convert into Class A Common Stock on a one-for-one basis.

(2) The shares of Class A Common Stock reported represent shares issued as a result of vesting of 3,910 Restricted Stock Units ("RSUs") on May 4, 2015.

Reporting Owners

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Hartwick Kenneth Michael 2105 CITYWEST BLVD., SUITE 100	x							
HOUSTON, TX 77042	21							

Signatures

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

5/5/2015

Date