

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/22/17 for the Period Ending 05/18/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Hartwick Kenneth Michael					Spark Energy, Inc. [SPKE]								Ì		nicaoic)			
(Last)	(First)	(First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)								X _ Director10% OwnerOfficer (give title below)Other (specify below)				
12140 WICKCHESTER LANE SUITE 100					5/18/2017													
(Street)				4.]	4. If Amendment, Date Original Filed (MM/DD/YYYY)								6.	6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77079 (City) (State) (Zip)													_ 2	X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		ŗ	Table I - I	Non-Der	rivat	ive Secu	ırities Ac	quir	ed, D	isposed	d of	f, or Bo	enefi	cially Owne	ed			
1.Title of Security (Instr. 3)			rans. Date			3. Trans. C (Instr. 8)	or Dis		ecurities Acquisposed of (Dr. 3, 4 and 5)) Follow		lowing Reported Transaction(s) Ownership of Form: B Direct (D)		Beneficial Ownership			
						Code	v	1	Amount (A		Price					or Indirect (I) (Instr. 4)	(Instr. 4)	
Class A Common Stock 5/18/201				8/2017	M 5899 (1) A 80 16676					D								
	Tabl	le II - Deri	vative Sec	urities l	Bene	eficially	Owned (e.g.	, puts	s, calls,	wa	ırrants	s, opt	tions, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	•	3A. Deemed Execution Date, if any	4. Trans. Code (Instr. 8)	Derivativ		e Securities (A) or of (D)	6. Date Exercisa Expiration Date					s Underlying ve Security		Derivative Security (Instr. 5)	Securities Beneficially Owned	Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D)	Date Exerc	isable	Expiration Date	on 7	Γitle		Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(2)</u>	5/18/2017		M			9075	1	(3)	<u>(3)</u>		Class Comm Stock	on	9075.0 (2)	\$0	0	D	
Restricted Stock Unit	<u>(4)</u>	5/18/2017		A		7475		1	<u>(1)</u>	<u>(1)</u>		Class Comm Stock	on	7475.0	\$0	7475	D	

Explanation of Responses:

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock"), reported represent shares issued as a result of vesting of 9,075 restricted stock units (the "Restricted Stock Units") on May 18, 2017. Of the 9,075 Restricted Stock Units, 5,899 Restricted Stock Units were settled in shares of Class A Common Stock and 3,176 Restricted Stock Units were cash settled based on the closing price on the date of vesting.
- (2) Each Restricted Stock Unit represents a right to receive, upon vesting, one share of Class A Common Stock of Spark Energy, Inc., cash, or a combination of both. Each Restricted Stock Unit includes tandem dividend equivalents which will vest upon the same schedule referenced in Footnote 3.
- (3) The Restricted Stock Units will vest in full on May 18, 2018. Each of these Restricted Stock Units, and all dividend equivalents, will vest and pay out upon vesting of the underlying award of Restricted Stock Units to which the dividend equivalent payment relates.
- (4) Restricted Stock Units convert into Class A Common Stock on a one-for-one basis or may be cash settled in accordance with the Spark Energy Inc. Amended and Restated Long Term Incentive Plan.

Reporting Owners

1								
Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
Hartwick Kenneth Michael								
12140 WICKCHESTER LANE SUITE 100	X							
HOUSTON, TX 77079								

Signatures

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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