

SPARK ENERGY, INC. Reported by KROEKER NATHAN

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 05/22/17 for the Period Ending 05/18/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities

Fiscal Year 12/31



[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
Kroeker Nathan					Spark Energy, Inc. [SPKE]						V Dimester		,	00/ 0		
(Last)	(First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)						X Director X Officer (g	give title belo		0% Owner Other (speci	fy below)	
12140 WICKCHESTER LANE SUITE 100					5/18/2017							President &	•	, <u> </u>		,
	(Str	eet)		4.	If A	mendm	ent, Date	Orig	inal Fi	led (MM/I	OD/YYYY)	6. Individual of	or Joint/G	roup Filing	(Check Appl	icable Line)
HOUSTON, TX 77079 (City) (State) (Zip)											X_Form filed by One Reporting Person Form filed by More than One Reporting Person					
			Table I -	- Non-De	erivat	tive Sec	urities A	cqui	red, D	isposed	of, or Be	neficially Own	ed			
1. Title of Security (Instr. 3) 2. Trans. Da				2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	de	4. Securities Acquire Disposed of (D) (Instr. 3, 4 and 5)			(A) or 5. Amount of Secu Following Reporte (Instr. 3 and 4)			Ownership Form: of Indire Benefici	7. Nature of Indirect Beneficial	
							Code	V	Amoun	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	Ownership (Instr. 4)
Class A Common Stock 5/18/2017				/18/2017			M 10868 (1) A \$0 53988					D				
Class A Common Stock 5/18/2017				/18/2017	F 4609 D \$38.05 (3) 49379					D						
	Tab	ole II - Der	ivative S	ecurities	Ben	eficially	Owned	(e.g.	. , puts	, calls, v	varrants,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	Conversion or Exercise Price of Derivative	onversion Date Exercise rice of erivative		4. Trans Code (Instr. 8)	Derivati		re Securities (A) or of (D)		6. Date Exercisable and Expiration Date			Underlying Security	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned	Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security	ry		Code	v	(A)	(D)	Dat Exe	e ercisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	(1)	5/18/2017		М			10868		<u>(1)</u>	<u>(1)</u>	Class A Commo Stock		\$0	47308	D	
Restricted Stock Unit	<u>(4)</u>	5/18/2017		A		35000			<u>(5)</u>	<u>(5)</u>	Class A Commo Stock	7.45	\$0	82308	D	

Explanation of Responses:

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock") reported represent shares issued as a result of vesting of 10,868 Restricted Stock Units ("RSU") on May 18, 2017.
- (2) Payment for tax liability through the withholding of shares of Spark Energy, Inc. Class A Common Stock vesting on May 18, 2017 in an amount equal to the requisite withholding obligation.
- (3) Vesting price is based on the closing price of Class A Common Stock on May 18, 2017, pursuant to the Spark Energy, Inc. Amended and Restated Long Term Incentive Plan.
- (4) Each RSU represents a right to receive, upon vesting, one share of Class A Common Stock of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in footnote 5.
- (5) The RSUs will vest ratably over four years commencing May 18, 2018.

Reporting Owners

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	10% Owner	Officer	Other			
Kroeker Nathan 12140 WICKCHESTER LANE SUITE 100 HOUSTON, TX 77079	X		President & CEO				

/s	/ Nathan	Kroeker	hv	Gil Melman	96	Attornes	/_in_1	Fact
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5/22/2017

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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