

# SPARK ENERGY, INC.

# Reported by **MELMAN GIL**

### FORM 4

(Statement of Changes in Beneficial Ownership)

### Filed 05/22/17 for the Period Ending 05/18/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

SIC Code 4931 - Electric and Other Services Combined

Industry Electric Utilities

Sector Utilities Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *					2. Issuer Name and Ticker or Trading Symbol						bol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
Melman Gil					Spark Energy, Inc. [ SPKE ]							Director		10	0/ 00000000	
(Last)	(First	(First) (Middle)				3. Date of Earliest Transaction (MM/DD/YYYY)							give title belo		% Owner Other (speci	fy below)
12140 WICKCHESTER LANE SUITE 100					5/18/2017							Vice Preside	nt Genera	al Counsel		
(Street)				4.	4. If Amendment, Date Original Filed (MM/DD/YYYY)							6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON, TX 77079  (City) (State) (Zip)												X_Form filed by One Reporting Person Form filed by More than One Reporting Person				
		•	Гable I -	Non-De	rivat	ive Sec	urities Ac	equi	red, D	isposed	of, or Be	neficially Own	ed			
1.Title of Security (Instr. 3)			ans. Date	te 2A. Deemed Execution Date, if any		3. Trans. Co (Instr. 8)	de	de 4. Securities Acquing Disposed of (D) (Instr. 3, 4 and 5)		aired (A) or	ed (A) or 5. Amount of Secur Following Reported (Instr. 3 and 4)				7. Nature of Indirect Beneficial Ownership	
							Code	V	Amoun	(A) or (D)	Price				Direct (D) or Indirect (I) (Instr. 4)	(Instr. 4)
Class A Common Stock 5/18/2017				8/2017	M   5491   A   \$0   21661					D						
Class A Common Stock 5/18/2017				8/2017			F		1507 (2)	D	\$38.05 (3)	20154		D		
	Tabl	le II - Deri	vative Se	curities	Bene	ficially	Owned (	( e.g.	, puts	, calls, v	varrants,	options, conve	rtible sec	urities)		
1. Title of Derivate Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	Date E	3A. Deemed Execution Date, if any	d 4. Trans Code (Instr. 8	Deriva Acqui Dispos				6. Date Exercisable and Expiration Date			Underlying Security	erlying Derivative Security		Ownership Form of Derivative Security:	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Security			Code	v	(A)	(D)	Date Exe	e rcisable	Expiration Date	Title	Amount or Number of Shares		Following Reported Transaction(s) (Instr. 4)	Direct (D) or Indirect (I) (Instr. 4)	
Restricted Stock Unit	<u>(1)</u>	5/18/2017		М			5491		<u>(1)</u>	<u>(1)</u>	Class A Commo Stock		\$0	19581	D	
Restricted Stock Unit	<u>(4)</u>	5/18/2017		A		17000			<u>(5)</u>	(5)	Class A Commo Stock	(4)	\$0	36581	D	

#### **Explanation of Responses:**

- (1) The shares of Class A Common Stock, par value \$0.01 per share (the "Class A Common Stock") reported represent shares issued as a result of vesting of 5,491 Restricted Stock Units ("RSU") on May 18, 2017.
- (2) Payment for tax liability through the withholding of shares of Spark Energy, Inc. Class A Common Stock vesting on May 18, 2017 in an amount equal to the requisite withholding obligation.
- (3) Vesting price is based on the closing price of Class A Common Stock on May 18, 2017, pursuant to the Spark Energy, Inc. Amended and Restated Long Term Incentive Plan.
- (4) Each RSU represents a right to receive, upon vesting, one share of Class A Common Stock of Spark Energy, Inc., cash, or a combination of both. Each RSU includes tandem dividend equivalents which will vest upon the same schedule referenced in footnote 5.
- (5) The RSUs will vest ratably over four years commencing May 18, 2018.

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Name / Address	Director	Director 10% Owner Officer		Other			
Melman Gil							
12140 WICKCHESTER LANE SUITE 100			Vice President General Counsel				
HOUSTON, TX 77079							

/s/ Gil Melman	5/22/2017
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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