

SPARK ENERGY, INC. Reported by HARTWICK KENNETH MICHAEL

FORM 4

(Statement of Changes in Beneficial Ownership)

Filed 03/20/17 for the Period Ending 03/16/17

Address 12140 WICKCHESTER LANE

SUITE 100

HOUSTON, TX, 77079

Telephone (713) 600-2600

CIK 0001606268

Symbol SPKE

Fiscal Year 12/31





[] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person * | | | | | | 2. Issuer Name and Ticker or Trading Symbol | | | | | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | | |
|--|-------------------------|-------------------|------------------------|---------------------|--|--|------------|---------------|--|--------------------|-----------------|---|---|---|---|---|--|--|--|
| Hartwick Kenneth Michael | | | | | Spark Energy, Inc. [SPKE] | | | | | | | | | , , | | | | | |
| (Last) (First) (Middle) | | | | | | 3. Date of Earliest Transaction (MM/DD/YYYY) | | | | | | | | X Director 10% Owner | | | | | |
| (Last) (Last) (Madie) | | | | | | , , , | | | | | | | | Officer (give title below) — Other (specify below) | | | | | |
| 12140 WICKCHESTER LANE SUITE 100 | | | | | 3/16/2017 | | | | | | | | | | | | | | |
| | (Stre | eet) | | 4. 1 | If Aı | mendme | nt, Date | Origi | nal Fi | led (MM/I | DD/YYYY | 7) 6 | . Individual | or Joint/G | roup Filing | (Check App | licable Line | | |
| HOUSTON, TX 77079 | | | | | | | | | | | | | X_Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (City) (State) (Zip) | | | | | | | | | | | | | | | | | | | |
| | | | Table I - | Non-Der | rivat | tive Secu | urities A | cquir | ed, D | isposed (| of, or B | enei | ficially Own | ed | | | | | |
| 1.Title of Security | | 2. 7 | Trans. Date | | | 3. Trans. C | | | | | | Amount of Securities Beneficially Owned | | | 6. | 7. Nature | | | |
| (Instr. 3) | | | | | | ution , if any | (Instr. 8) | | or Disposed of (D (Instr. 3, 4 and 5) | | | | owing Reported (tr. 3 and 4) | Fransaction(| n(s) | Ownership Form: Direct (D) or Indirect | of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | | | | | | | | | | | |
| | | | | | | | Code | V | Amo | (A) o unt (D) | r Price | | | | | (I) (Instr. 4) | | | |
| | | | I | I | | | couc | | | unt (2) | 11100 | <u> </u> | | | | 1.7 | l | | |
| | Tab | le II - Deri | vative Se | curities l | Bene | eficially | Owned | (e.g. | , put | s, calls, w | arrant | s, op | otions, conve | rtible sec | curities) | | _ | | |
| Title of Derivate Security | 2. Conversion | 3. Trans. Date | 3A. Deeme Execution | d 4. Trans. Code | Derivativ Securitie (A) or Di (D) | | | | Expiration Date S | | | | amount of 8. Price of derlying Derivative | | Number of derivative | 10. Ownership | Nature of Indirect | | |
| (Instr. 3) | or Exercise Price of | | Date, if any | | | | s Acquired | | | | | | ecurity Se | Security (Instr. 5) | Securities Beneficially Owned | Form of Derivative Security: | Beneficial Ownership (Instr. 4) | | |
| | Derivative | | | | | | • | | | | | and 4 | , | (msu. 5) | | | | | |
| | Security | | | | | (Instr. 3, | 4 and 5) | | | | | | Amount or | | Following Reported Transaction(s) (Instr. 4) | Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | | Codo | V | (4) | (D) | Date Exerc | cisable | Expiration Date | Title | | Number of | | | | | | |
| | | | | Code | V | (A) | (D) | | | | Class | A | Shares | | <u> </u> | | | | |
| Restricted Stock Unit | <u>(1) (2)</u> | 3/16/2017 | | A | | 115 | <u>3)</u> | | <u>(2)</u> | <u>(2)</u> | Common Stock | | 115.0 | \$28.30 | 9075 (4) | D | | | |
| | | | | | | | | 1 | | | Stoci | • | | | | | | | |
| Explanation of | Responses | : | | | | | | | | | | | | | | | | | |
| • | • | | renreseni | ts a rioht | to re | eceive 11 | non vest | inσ ດ | ne sh | are of Cl: | ass A C | omn | non Stock, pa | ır value \$ | 0.01 ner sha | re (the "C | lass A | | |
| 1) Common S | | | | _ | | , | | - | | | | | · . | | | | | | |
| | ferenced in | | | | | | | | | | | | • | | | • | | | |
| (| DCII | . 1 | | , • | 1 | , | C.41 | | | 1 CD | OII 4 | 1 . | 1 /1 11 11 | | 1 . | | | | |
| 2) Each of the | se KSUs ve | sts and pay | s out upor | vesting | ana | payout o | or the und | ıeriyi | ng av | ard of K | SUS to | wnic | the divider | ia equiva | ient relates. | | | | |
| (These RSU | s accrued or | n outstandi | ng RSUs l | neld by th | ne re | porting | person as | a res | sult of | a divide | nd equi | vale | nt payment m | ade to the | e holder whe | en the Coi | npany | | |
| 3) paid its mo | st recent qua | arterly divid | dend on th | e Class A | A Co | mmon S | Stock. | | | | | | | | | | | | |
| (Balance inc | ludes origin | al grants o | f RSUs ar | ıd divideı | nd e | quivalen | ts issued | in ad | dition | nal RSUs | | | | | | | | | |
| Reporting Owr | iers | | | | | | | | | | | | | | | | | | |
| | | ddrass | | | Relationships | | | | | | | | | | | | | | |
| Reporting Owner Name / Address | | | | | | <u> </u> | CC C 1 | | | | | | | | | | | | |

Signatures

Hartwick Kenneth Michael

HOUSTON, TX 77079

12140 WICKCHESTER LANE SUITE 100

/s/ Kenneth M. Hartwick, by Gil Melman as Attorney-in-Fact

3/20/2017

**Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

X

Director 10% Owner Officer Other

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.